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Signature of Smooth Transition from Sudden to Adiabatic States in Heavy-Ion Fusion Reactions at Deep Sub-Barrier Energies

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We propose a novel extension of the standard coupled-channels framework for heavy-ion reactions in order to analyze fusion reactions at deep-sub-barrier incident energies. This extension simulates a smooth transition between the two-body and the adiabatic one-body states. To this end, we damp gradually the off-diagonal part of the coupling potential, for which the position of the onset of the damping varies for each eigenchannel. We show that this model accounts well for the steep falloff of the fusion cross sections for the $^{16}$O + $^{208}$Pb, $^{64}$Ni + $^{64}$Ni, and $^{58}$Ni + $^{58}$Ni reactions.

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Heavy-ion fusion reactions at low incident energies provide a good opportunity to study fundamental features of the tunneling phenomena in many-particle systems. A potential barrier, called the Coulomb barrier, is formed because of a strong cancellation between the repulsive Coulomb interaction and an attractive nuclear interaction. In particular, the potential tunneling at incident energies below the Coulomb barrier is referred to as the sub-barrier fusion reaction. One prominent feature of the sub-barrier fusion reactions is the large enhancement of fusion cross sections, as compared to a prediction of the simple potential tunneling [1]. This enhancement has been attributed to the coupling of the relative motion between the colliding nuclei to several intrinsic degrees of freedom, such as a collective vibration of the target and/or projectile nuclei. The coupled-channels (CC) approach, based on this picture, has been successful in accounting for the sub-barrier enhancement [2].

Because of a recent progress in experimental techniques, it has been possible to measure fusion cross sections down to deep sub-barrier incident energies [3–6]. These data show a substantial reduction of fusion cross sections at deep sub-barrier energies from the prediction of the CC calculations that reproduce the experimental data at energies around the Coulomb barrier, and have brought about a renewed interest in this field. This phenomenon, often referred to as the fusion hindrance, shows a threshold behavior, where the data deviate largely from the standard CC calculations at incident energies below a certain threshold energy, $E_t$.

A key element to understanding the fusion hindrance is the density overlap of the colliding nuclei in the potential tunneling process. When the incident energy is below the potential energy at the touching point of the colliding nuclei, $V_{\text{Touch}}$, the inner turning point of the potential is located inside the touching point, and the projectile is still in the classically forbidden region when the two nuclei touch with each other (see Fig. 1 in Ref. [7]). In this situation, the colliding nuclei have to penetrate through a residual barrier with an overlapping configuration before fusion takes place. In our previous work [7], we evaluated $V_{\text{Touch}}$ using several kinds of ion-ion potential, and systematically compared those with experimentally determined threshold energy $E_x$ for many systems. The obtained systematics shows a strong correlation between $V_{\text{Touch}}$ and $E_x$, indicating strongly that the nuclear interaction in the overlapping region plays a decisive role in the deep sub-barrier hindrance.

Three different mechanisms have been proposed so far in order to account for the fusion hindrance. Based on the sudden picture, Mišicu and Esbensen have investigated the effect of the nuclear interaction in the overlap region, in terms of a repulsive core due to the Pauli exclusion principle [8–10]. Assuming the frozen density in the overlapping region, they obtained a much shallower potential

![Graph showing fusion cross sections for different systems](image-url)
pocket than the standard one, which hinders the fusion probability for high partial waves. Their shallow potential reproduces well the fusion hindrance. In contrast, we have proposed the adiabatic approach by assuming neck formations between the colliding nuclei in the overlap region [11]. In our model, the fusion hindrance originates from the tunneling of much thicker potential barrier characterized by the adiabatic one-body potential. This model achieved comparable good reproduction of the experimental data to the sudden model. The third mechanism, suggested recently by Dasgupta et al., is the quantum decoherence of channel wave functions caused by the coupling to the thermal bath [5]. A model calculation based on this picture shows a possibility of the gradual occurrence of hindrance in sub-barrier fusion reactions [12].

Among those three mechanisms, the origin for the deep sub-barrier hindrance is considerably different from each other. The recent precise data for the $^{16}$O + $^{208}$Pb fusion may provide an adequate system to discriminate among the various models, because the behavior of its astrophysical $S$ factor is difficult to reproduce within a simple model calculation. In the model of Esbensen and Mišću, not only the collective inelastic channels but also the particle transfer channel with modified coupling strengths are necessary for a fit to the experimental data [8]. In the estimation of Dasgupta et al., it was impossible to obtain an overall fit to the experimental data from the above-barrier to deep sub-barrier regions with a single parameter set for the nuclear potential [5]. On the other hand, the performance of the adiabatic model has not yet been studied for this system, although the concept of the adiabatic potential was proved helpful in the analysis of the potential inversion method in the deep sub-barrier fusion [13].

In this Letter, we attempt to study the deep sub-barrier fusion for the $^{16}$O + $^{208}$Pb reaction based on the adiabatic model. Our previous model has a defect that the full quantum treatment for the two-body part suddenly switches to the semiclassical one for the adiabatic one-body part, which introduces arbitrariness for the choice of the Hamiltonian. To avoid the shortcoming, we develop below a full quantum mechanics where the CC approach in the two-body system is smoothly jointed to the adiabatic potential tunneling for the one-body system, resulting in an overall good agreement for the $^{16}$O + $^{208}$Pb reaction, as well as for the $^{58}$Ni + $^{208}$Pb and $^{64}$Ni + $^{64}$Ni systems.

We employ the incoming wave boundary condition in order to simulate a compound nucleus formation. In order to construct an adiabatic potential model with it, we postulate the followings: (i) Before the target and projectile nuclei touch with each other, the standard CC model in the two-body system works well. (ii) After the target and projectile overlap appreciably with each other, the fusion process is governed by a single adiabatic one-body potential where the excitation on the adiabatic base is neglected. (iii) The transition from the two-body treatment to the one-body one takes place at near the touching configuration, where all physical quantities are smoothly joined. To this end, we adopt Yukawa-plus-exponential (YPE) potential [14] as a basic ion-ion potential $V_N^{(0)}$, because the diagonal part of this potential satisfies the conditions (i)–(iii) by choosing a suitable neck-formed shape for the one-body system, as has been shown in our previous work [11].

The nuclear coupling form factor which describes excitations to the vibrational states in the two-body channel is taken as the derivative of potential $V_N^{(0)}$ [15]. The coupling matrix elements are evaluated with the eigenchannel representation as in Eq. (24) in Ref. [16]. In order to satisfy the conditions (i)–(iii), we employ the following form for the nuclear potential for the eigenchannel $\alpha$,

$$V_N(r, \lambda_\alpha) = V_N^{(0)}(r) + \left[-\frac{dV_N^{(0)}}{dr}\lambda_\alpha + \frac{1}{2}\frac{d^2V_N^{(0)}}{dr^2}\lambda_\alpha^2\right] \Phi(r, \lambda_\alpha),$$

where $\lambda_\alpha$ is the eigenvalue of the excitation operator. The most important modification from the standard CC treatment is the introduction of the damping factor $\Phi$. This damping factor represents the physical process for the gradual transition to the adiabatic approximation, by diminishing the strength of excitations to the target and/or projectile vibrational states after the two nuclei overlap with each other. We thus choose the damping factor given by

$$\Phi(r, \lambda_\alpha) = \begin{cases} 1 & r \geq R_d + \lambda_\alpha \quad \text{(Two-body region)}, \\ e^{-(r-(R_d-\lambda_\alpha)^2)/2a_d^2} & r < R_d + \lambda_\alpha \quad \text{(Overlap region)}. \end{cases}$$

It is technically complicated to take into account the effect of the damping factor on the Coulomb coupling. We have introduced the channel independent damping factor for the Coulomb coupling, but the effect on the fusion cross sections appeared small. We therefore consider the damping factor only for the nuclear coupling in the calculations presented below.
We apply our present model to the fusion reactions for the $^{64}$Ni + $^{64}$Ni and $^{16}$O + $^{208}$Pb systems. To this end, we incorporate the damping factor and the YPE potential in the computer code CCFLU [16]. For the $^{64}$Ni + $^{64}$Ni system, the coupling scheme included in the calculation, as well as the deformation parameters, are the same as in Ref. [4]. We treat $^{64}$Ni as a spherical nucleus, although the effect of small, but finite, deformation was considered in Ref. [17]. For the $^{16}$O + $^{208}$Pb system, those are the same as in Ref. [18], but we include the coupling to the low-lying $3^-$ phonon states and the double-octupole phonon excitations for both the $^{16}$O and $^{208}$Pb nuclei. For the damping factor, we use $r_d = 1.298$ fm and $a_d = 1.05$ fm for the $^{64}$Ni + $^{64}$Ni system, and $r_d = 1.280$ fm and $a_d = 1.28$ fm for the $^{16}$O + $^{208}$Pb system.

For the YPE model, the parameters are taken as $a_0 = 0.68$ fm, $a_1 = 21.33$ MeV, and $\kappa_s = 2.378$ from FRLDM2002 [19]. In order to fit the experimental fusion cross sections, the radius parameter $r_0$ is adjusted to be 1.205 and 1.202 fm for the $^{64}$Ni + $^{64}$Ni and $^{16}$O + $^{208}$Pb systems, respectively. For the mass asymmetric $^{16}$O + $^{208}$Pb system, it is difficult to joint smoothly the potential energies between the two-body and the adiabatic one-body systems at the touching point, because the proton-to-neutron ratio for the one-body system differs from that for the target and projectile in the two-body system. To avoid this difficulty, we smoothly connect the potential energy around the touching point to the liquid-drop energy of the compound nucleus, using the third-order polynomial function (see the dashed line in Fig. 4). We do this by identifying the internucleus distance $r$ with the centers-of-masses distance of two half spheres. The obtained potential is similar to the result of the density-constrained time-dependent Hartree-Fock method [17]. We have checked this prescription for the mass symmetric $^{64}$Ni + $^{64}$Ni system, by comparing to the potential energy used in our previous work [11]. The deviation due to this prescription is negligibly small.

Figure 1 shows the fusion cross sections thus obtained. The fusion cross sections obtained with the damping factor are in good agreement with the experimental data for both the systems (see the solid line). For both the systems, we see that drastic improvement has been achieved by taking into account the damping of the CC form factors, as compared to the result without the damping factor (the dashed line).

We also compare the astrophysical $S$ factor representation of the experimental data with the calculated results, as shown in Fig. 2. In the calculation, the Sommerfeld parameter $\eta$ is shifted by 75.23 and 49.0 for the $^{64}$Ni + $^{64}$Ni and $^{16}$O + $^{208}$Pb systems, respectively. The $S$ factor obtained with the damping factor are consistent with the experimental data for both the systems (see the solid lines), and reproduce well the peak structure. Notice that the $S$ factor predicted by our model differs considerably from that of the sudden model by Mišicu and Esbensen [10], denoted by the dot-dashed line. For both the systems, as the incident energy decreases, their $S$ factor falls off steeply below the peak of the $S$ factor, while our $S$ factor has a much weaker energy dependence.

Figure 3 compares the logarithmic derivatives $d \ln(E_{c.m.}\sigma_{fus})/dE_{c.m.}$ of the experimental fusion cross section with the calculated results. It is again remarkable that only the result with the damping factor achieves nice reproduction of the experimental data. For the $^{64}$Ni + $^{64}$Ni system, the result with the damping factor becomes saturated below $E_{c.m.} = 86$ MeV. This behavior is similar to the experimental data for the $^{16}$O + $^{208}$Pb system. The measurement at further lower incident energies for this system will thus provide a strong test for the present adiabatic model.

Figure 4 shows the adiabatic potential of the $^{16}$O + $^{208}$Pb system, that is, the lowest eigenvalue obtained by...
diagonalizing the coupling matrix at each center-of-mass distance \( r \). We see that the result obtained with the damping factor (the solid line) is much thicker than that of the conventional CC model (the dashed line). In this respect, it is interesting that the result with the damping factor is similar to that obtained with the potential inversion method [13], denoted by the gray region, justifying our treatment for the damping of the CC form factor.

For the average angular momentum of the compound nucleus, the results with the damping factor become saturated at incident energies below the threshold energy with decreasing incident energy, as shown in our previous works [11,20].

We have also applied our model to the \(^{58}\text{Ni} + ^{58}\text{Ni}\) reactions and the results obtained are in good agreement with the experimental data, although the experimental data are limited only to the near-barrier region. For the damping factor, we used \( r_d = 1.3 \text{ fm} \) and \( a_d = 1.3 \text{ fm} \) in order to fit the experimental data. Notice that the obtained damping radius parameters for the three systems which we study are almost the same.

Last, we would like to mention that a completely different sub-barrier fusion model was developed in Refs. [21,22]. Since this model yields a neck-formed density, like our adiabatic model, it will be interesting to examine its performance on the deep sub-barrier data, which were not available at that time. For neck formations, it is possible that the model of Ref. [17] also includes a similar effect in a different representation.

In summary, we have proposed a novel coupled-channels approach for heavy-ion fusion reactions by introducing the damping of the CC form factor inside the touch point in order to simulate the transition from the sudden to adiabatic states. The important point in our present model is that the transition takes place at different places for each eigenchannel. By applying this model to the \(^{16}\text{O} + ^{208}\text{Pb}\), the \(^{64}\text{Ni} + ^{64}\text{Ni}\), and the \(^{58}\text{Ni} + ^{58}\text{Ni}\) systems, we conclude that the smooth transition from the two-body to the adiabatic one-body potential is responsible for the steep falloff of the fusion cross section.

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