

1 **Lessons from the genomes of lindane-degrading **sphingomonads****

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3 **Yuji Nagata,* Hiromi Kato, Yoshiyuki Ohtsubo and Masataka Tsuda**

4

5 Graduate School of Life Sciences, Tohoku University, 2-1-1 Katahira, Sendai 980-8577,

6 Japan

7

8 * For correspondence. E-mail aynaga@ige.tohoku.ac.jp Tel. (+81) 22 217 5682; Fax (+81)

9 22 217 5682.

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11 **Keywords** Biodegradation, Genome, Mobile genetic element, Plasmid, Insertion

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15 **Summary**

16 Bacterial strains capable of degrading man-made xenobiotic compounds are good materials
17 to study bacterial evolution toward new metabolic functions. Lindane (γ -
18 hexachlorocyclohexane, γ -HCH, or γ -BHC) is an especially good target compound for the
19 purpose, since it is relatively recalcitrant but can be degraded by a limited range of
20 bacterial strains. A comparison of the complete genome sequences of lindane-degrading
21 sphingomonad strains clearly demonstrated that: (i) lindane-degrading strains emerged
22 from a number of different ancestral hosts that have recruited *lin* genes encoding enzymes
23 that are able to channel lindane to central metabolites, (ii) in sphingomonads *lin* genes have
24 been acquired by horizontal gene transfer mediated by different plasmids and in which
25 IS6100 plays a role in recruitment and distribution of genes, and (iii) IS6100 plays a role in
26 dynamic genome rearrangements providing genetic diversity to different strains and ability
27 to evolve to other states. Lindane-degrading bacteria whose genomes change so easily and
28 quickly are also fascinating starting materials for tracing the bacterial evolution process
29 experimentally in a relatively short time period. As the origin of the specific *lin* genes
30 remains a mystery, such genes will be useful probes for exploring the cryptic “gene pool”
31 available to bacteria.

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33

34 **Introduction**

35 Every day, numerous chemical compounds are released into the environment by human
36 activities. This dissemination often has serious environmental consequences, since most of
37 these chemicals are not readily degraded in the environment and have harmful effects on
38 humans and the natural ecosystem (Ogata *et al.*, 2009; El-Shahawi *et al.*, 2010; Tarcau *et*
39 *al.*, 2013). Bacteria that degrade environmental pollutants have been isolated and
40 characterized for the bioremediation of these toxic compounds, and have also attracted
41 attention for their potential to be evolutionarily adapted to degrade chemical compounds
42 unfamiliar to them (Janssen *et al.*, 2005; Copley, 2009; Stolz, 2009; Nagata *et al.*, 2016).
43 Today, with increasingly large numbers of bacterial genomes and metagenomes being
44 sequenced, it has become possible to discuss the evolution process of such bacteria, which
45 in many ways remains shrouded in mystery.

46 The degradability of environmental pollutant varies widely from substance to
47 substance. Simple aromatic compounds, *e.g.*, benzene, toluene, phenol, and naphthalene,
48 are major environmental pollutants and are relatively easily degraded by microorganisms
49 (Janssen *et al.*, 2005; Fuchs *et al.*, 2011; Perez-Pantoja *et al.*, 2012; Diaz *et al.*, 2013;
50 Abbasian *et al.*, 2016). In fact, many bacterial strains degrading such aromatic compounds
51 have been isolated and studied in detail. In most cases, a series of genes encoding enzymes
52 necessary for transformation of these compounds into TCA cycle intermediates constitute a
53 gene cluster whose expression is often transcriptionally regulated (van der Meer *et al.*,
54 1992; Tropel and van der Meer, 2004; Diaz *et al.*, 2013; Kumar *et al.*, 2016). In many cases
55 genes form operons which are often located on mobile genetic elements, *e.g.*, transposons,
56 plasmids, and integrative and conjugative elements (ICEs), and can be transferred between
57 bacterial cells as a set (Top and Springael, 2003; van der Meer *et al.*, 1992; Tsuda *et al.*,

58 1999; Springael and Top, 2004; Liang *et al.*, 2012; Ohtsubo *et al.*, 2012); thus non-
59 degrading bacterial cells can easily turn into degraders of aromatic compounds simply by
60 acquiring such “ready-made” gene clusters. In other words, a system for degrading simple
61 aromatic compounds has already been well established in nature, and the gene clusters
62 necessary for the degradation can be distributed among bacterial cells **in environments**
63 contaminated with these compounds, where cells having the ability to assimilate the
64 compounds have a survival advantage. **It is not surprising that the system for degrading**
65 **simple aromatic compounds has been well established in nature, since most such**
66 **compounds are not man-made but natural products and have existed for a long time in the**
67 **environment.**

68 On the other hand, anthropogenic compounds that were chemically synthesized or
69 industrially produced are usually highly recalcitrant, because microorganisms have never
70 or rarely encountered such chemical compounds and have not fully established systems to
71 degrade and utilize them. **However, bacteria that can degrade anthropogenic chemicals**
72 **have been isolated, and most aerobic xenobiotics-degrading bacteria can use such**
73 **chemicals as their sole sources of carbon and energy (Janssen *et al.*, 2005; Copley, 2009;**
74 **Stolz, 2009; Nagata *et al.*, 2016; Hegedus *et al.*, 2017; Nielsen *et al.*, 2017; Singh, 2017).**
75 Since it has been proposed that the pathways for aerobic degradation of man-made
76 xenobiotic compounds evolved relatively quickly within several decades after the release
77 of such compounds into the environment, the bacterial strains capable of degrading man-
78 made xenobiotic compounds are excellent models for studying the “primitive” adaptation
79 and evolution processes of bacteria in the environment (Janssen *et al.*, 2005; Copley, 2009;
80 Stolz, 2009; Nagata *et al.*, 2016).

81 Recent genome analyses of such **xenobiotics-degrading strains** have strongly
82 suggested that they indeed emerged relatively recently by gathering genes for the
83 degradation of xenobiotic compounds, and that mobile genetic elements played important
84 roles for recruitment of the genes (Udikovic-Kolic *et al.*, 2012; Satola *et al.*, 2013; Nagata
85 *et al.*, 2016). In this mini review, we will explain in detail our hypothesis for the emergence
86 and evolution of the lindane (γ -hexachlorocyclohexane, γ -HCH, or γ -BHC) degraders by
87 using the complete genome sequences of lindane-degrading sphingomonad strains, since
88 the lindane degradation system in aerobic bacteria is an excellent model for investigating
89 fundamental issues in microbial evolution (Nagata *et al.*, 2016; Tabata *et al.*, 2016c).
90 **Although the lindane-degrading bacterial strains belonging to non-sphingomonad groups**
91 **have been reported (Lal *et al.*, 2010; Sineli *et al.*, 2018), genes and enzymes for lindane**
92 **degradation in non-sphingomonad bacteria remain unclear and their complete genome**
93 **sequences are unavailable. Thus, we will focus on lindane-degrading strains belonging to**
94 **sphingomonads in this article.**

95

96 **Sphingomonads, a bacterial group containing various strains degrading highly**
97 **recalcitrant compounds**

98 Many xenobiotics-degrading bacterial strains belonging to various taxonomic
99 classifications have been isolated (Udikovic-Kolic *et al.*, 2012; Satola *et al.*, 2013), and
100 “sphingomonads” are one of the most important bacterial groups for the degradation of
101 recalcitrant hydrophobic compounds **among bacteria that are widely distributed in the**
102 **environment and can be easily cultured under laboratory conditions** (Lal *et al.*, 2006; Stolz,
103 2009; Lal *et al.*, 2010; Stolz, 2014). Sphingomonads are a collective category comprising
104 *Sphingomonas*, *Sphigobium*, *Novosphingobium*, and *Sphigopyxis* belonging to

105 *Alphaproteobacteria* (Yabuuchi *et al.*, 2002). Several sphingomonad strains have been
106 isolated that degrade highly recalcitrant hydrophobic compounds, *e.g.*, lindane (Tabata *et*
107 *al.*, 2016c; Verma *et al.*, 2017), pentachlorophenol (Copley *et al.*, 2011), dioxin-related
108 compounds (Miller *et al.*, 2010), lignin-related compounds (Masai *et al.*, 2007),
109 polyaromatic hydrocarbons (D'Argenio *et al.*, 2011), polyvinyl alcohol (Ohtsubo *et al.*,
110 2015a), polyethylene/polypropylene glycol (Ohtsubo *et al.*, 2015b; Ohtsubo *et al.*, 2015c;
111 Ohtsubo *et al.*, 2016a; Ohtsubo *et al.*, 2016b), and organophosphate (Parthasarathy *et al.*,
112 2017).

113 It is suggested that sphingomonads can adapt quickly or efficiently to the degradation
114 of new compounds in the environment, and it should be noted that each sphingomonad
115 strain degrading a highly recalcitrant compound cannot degrade any other highly
116 recalcitrant compounds. For example, lindane degraders cannot degrade
117 pentachlorophenol, dioxin-related compounds, and so on. In addition, there are also
118 sphingomonad strains that degrade no special compound, and such strains are often found
119 in the environment (Lauro *et al.*, 2009). On the basis of these facts, it can be speculated
120 that most sphingomonad strains in the environment are “ordinary”, but have the potential
121 to become “specialists” for the degradation of highly recalcitrant hydrophobic compounds.

122 Primary comparison of the genome sequences of sphingomonad strains including
123 degraders of highly recalcitrant compounds supports the idea that sphingomonads are a
124 versatile group because of the plasticity of their genomes (Nagata *et al.*, 2011; Aylward *et*
125 *al.*, 2013). It is strongly suggested that plasmid-mediated gene transfer and chromosome-
126 plasmid recombination, together with prophage and transposon-mediated rearrangements,
127 play prominent roles in the genome evolution of sphingomonads (Copley *et al.*, 2011;
128 Nagata *et al.*, 2011; Tabata *et al.*, 2016; Hegedus *et al.*, 2017). In some cases, the gene

129 organizations seem to be edited by using insertion sequences. These points will be
130 explained in greater detail in the following sections by using **aerobic lindane-degrading**
131 **sphingomonad strains**.

132

133 **Lindane-degrading sphingomonad strains**

134 Lindane is a completely man-made chlorinated pesticide that has caused serious
135 environmental problems due to its toxicity and long persistence in upland soils (Phillips *et*
136 *al.*, 2005; Vijgen *et al.*, 2011; Lal *et al.*, 2010). Although the use of lindane is now banned
137 in most countries, this compound still remains in various environments and causes serious
138 environmental problems (Vijgen *et al.*, 2011). Lindane is chemically synthesized by the
139 process of photochlorination of benzene. The synthesized product is called technical-HCH
140 (t-HCH) and consists mainly of five isomers, α - (60-70%), γ - (12-16%), β - (10-12%), δ -
141 (6-10%), and ϵ -HCH (3-4%) (Vijgen *et al.*, 2011). Among these isomers, only γ -HCH has
142 insecticidal activity, and it is used after purification as the insecticide lindane (> 99%
143 purity). The remaining isomers have often been improperly disposed of, causing serious
144 environmental problems, and thus, in addition to γ -HCH, α - and β -HCH isomers were also
145 included as persistent organic pollutants (POPs) that must be controlled under international
146 agreement at the Stockholm Convention (Vijgen *et al.*, 2011). Among the HCH isomers, β -
147 HCH is the most recalcitrant; it is usually the predominant isomer remaining in
148 contaminated soils and in animal tissues and fluids (Willett *et al.*, 1998).

149 Only several decades after the first release of lindane into the environment, a number
150 of bacterial strains that aerobically degrade lindane have been isolated from geographically
151 dispersed locations, and most such strains—particularly those that have been intensively
152 analyzed—are sphingomonads **as reviewed by Lal *et al.* (2006; 2010). The lindane**

153 degradation pathway catalyzed by LinA, LinB, LinC, LinD, LinE, LinF, LinGH, and LinJ
154 has been revealed as shown in Fig. 1. The *lin* genes for the conversion of lindane to β -
155 ketoadipate (Fig. 1: *linA* to *linF*) are peculiar to the lindane-degrading pathway, since the
156 β -ketoadipate pathway is often used by environmental bacterial strains for the assimilation
157 of aromatic compounds (Harwood and Parales, 1996). It should be noted that the *linA* gene
158 does not show significant similarity to any sequences in the databases except for the almost
159 identical *linA* genes (> 90% identical) from lindane-degrading bacterial strains and
160 metagenomes of HCH-polluted environments (Nagata *et al.*, 2007; Lal *et al.*, 2010). The
161 *linA*, *linB*, and *linC* genes do not constitute an operon and are constitutively expressed at a
162 relatively high level in UT26, while the *linD* and *linE* genes constitute an operon, and their
163 expression is regulated by an LysR-type transcriptional regulator (LinR) (Miyachi *et al.*,
164 2002).

165 In addition to catabolic enzymes, a putative ABC-type transporter system consisting
166 of four components (Fig. 1): permease, ATPase, periplasmic protein, and lipoprotein,
167 encoded by *linK*, *linL*, *linM*, and *linN*, respectively, is necessary for the γ -HCH utilization
168 in UT26 (Endo *et al.*, 2007). The LinKLMN system is involved in γ -HCH utilization by
169 conferring tolerance toward a toxic metabolite 2,5-dichlorophenol (Endo *et al.*, 2006; Endo
170 *et al.*, 2007). The LinKLMN system is not a simple efflux pump of the toxic compound,
171 but seems to be involved in the integrity of the outer membrane (Endo *et al.*, 2007). It
172 remains unknown how the LinKLMN system is involved in the integrity of the outer
173 membrane, but the periplasmic protein LinM has a mammalian cell entry (Mce) domain
174 (Casali and Riley, 2007), which is necessary for the lipid binding (Awai *et al.*, 2006), and
175 thus it is speculated that the LinKLMN system transports lipid-related compounds, *e.g.*,
176 sphingolipid, for the integrity of the outer membrane.

177

178 Protein evolution of LinA and LinB

179 LinA and LinB are important targets from the viewpoint of protein evolution, since
180 the LinA and LinB variants (> 90% identical) show different levels of enzymatic activity
181 toward different HCH isomers and their metabolites (Nagata *et al.*, 2007; Lal *et al.*, 2010;
182 Sharma, *et al.*, 2011; Pandey *et al.*, 2014; Nagata *et al.*, 2015). It is quite noteworthy that
183 the majority of the sequence variations in the *linA* and *linB* variants are non-synonymous
184 substitutions, which strongly suggests that the *linA* and *linB* genes are still evolving at high
185 speed under strong selection pressures (Nagata *et al.*, 2007; Lal *et al.*, 2010; Nagata *et al.*,
186 2015).

187 Strain B90A has two different variants, LinA_{1B90A} (LinA1 from strain B90A: the
188 same expression will be used hereafter) and LinA_{2B90A} (Kumari *et al.*, 2002). LinA_{2B90A} is
189 identical to LinA_{UT26}, and LinA_{1B90A} is 88% identical to LinA_{2B90A}/LinA_{UT26}. The
190 differences between these two enzymes are caused mainly by the insertion of IS6100 into
191 the 3' end of the *linA1* gene (Kumari *et al.*, 2002), and these two LinA variants are 93%
192 identical in the N-terminal 148 amino acid region (11 amino-acid differences). In spite of
193 this high similarity, LinA_{1B90A} preferentially converts the (+) enantiomer of α -HCH,
194 whereas LinA_{2B90A} prefers the (-) enantiomer (Suar *et al.*, 2005). The crystal structure of
195 another LinA variant (LinA-type 2) was solved (Macwan *et al.*, 2012). The gene for LinA-
196 type 2, which was isolated from the metagenomic analysis, has no IS6100 insertion at the
197 3' end (Macwan *et al.*, 2012). However, LinA-type 2 is almost identical to LinA_{1B90A} in the
198 1-148 amino acid region (only one amino acid difference), and also prefers the (+)
199 enantiomer of α -HCH (Suar *et al.*, 2005). Detailed kinetic and sequence-structure-function
200 analyses of the seven naturally occurring LinA variants towards α -, γ -, and δ -HCH clearly

201 showed the contribution of sequence-structure differences to the differences in their
202 stereospecificities for these HCH isomers and enantiospecificities for (+)- and (-)- α -HCH
203 (Sharma, *et al.*, 2011).

204 Functional analyses of LinB variants have also been done, especially with respect to
205 β -HCH degradation activity (Okai *et al.*, 2013). As mentioned above, β -HCH is the most
206 recalcitrant among the four major isomers of t-HCH (Willett *et al.*, 1998). Its six chlorines
207 are all in equatorial positions, which seem to confer the greatest chemical stability to this
208 isomer. **LinB_{UT26}** converts β -HCH to 2,3,4,5,6-pentachlorocyclohexanol (PCHL) (Nagata
209 *et al.*, 2005), but it cannot **be further metabolized**. On the other hand, **LinB_{MI1205}** from
210 *Sphingobium* sp. MI1205 [identical to LinB_{B90A} from *Sphingobium indicum* B90A (Sharma
211 *et al.*, 2006) and LinB_{BHC-A} from *Sphingomonas* sp. BHC-A (Wu *et al.*, 2007)], which is
212 98% identical (having a difference in only 7 of the 296 amino acid residues) to **LinB_{UT26}**,
213 can catalyze the two-step conversion of β -HCH to 2,3,5,6-tetrachlorocyclohexane-1,4-diol
214 (TCDL) with the first conversion step being an order of magnitude more rapid than that by
215 **LinB_{UT26}** (Ito *et al.*, 2007). **Analysis of intermediate mutants between LinB_{UT26} and**
216 **LinB_{MI1205} demonstrated that the β -HCH degradation activity of LinB_{UT26} can be enhanced**
217 **in a stepwise manner by the accumulation of point mutations** (Moriuchi *et al.*, 2014). In
218 addition to **LinB_{MI1205}** and **LinB_{UT26}**, several other natural variants are known (Nagata *et*
219 *al.*, 2007; Lal *et al.*, 2010; Moriuchi *et al.*, 2014; Pandey *et al.*, 2014). A comprehensive
220 analysis of naturally occurring and synthetic variants of LinB with specific degradative
221 activity toward HCH isomers was performed (Pandey *et al.*, 2014). One of the synthetic
222 mutants that was constructed based on the data for naturally occurring LinB variants
223 showed nearly 80-fold higher activity toward β - and δ -HCH than **LinB_{MI1205}**, clearly
224 indicating the activity of LinB can be further improved (Pandey *et al.*, 2014).

225

226 **Genomes of lindane-degrading sphingomonad strains**

227 The complete genome sequence of UT26 was first determined among lindane-degraders
228 (Nagata *et al.*, 2010; Nagata *et al.*, 2011), and now the complete genome sequences of four
229 other γ -HCH-degraders are available: *Sphigobium* sp. MI1205 from Miyagi, Japan (Ito *et*
230 *al.*, 2007; Tabata *et al.*, 2016a), *Sphingomonas* sp. MM-1 from India (Tabata *et al.*, 2011;
231 Tabata *et al.*, 2013), *Sphingobium* sp. TKS from Kyushu, Japan (Tabata *et al.*, 2016b), and
232 *Sphingobium indicum* B90A (Verma *et al.*, 2017). The genome organizations of these five
233 lindane-degrading strains are summarized in Table 1. Strain B90A is another archetypal
234 lindane-degrading bacterium that has been deeply analyzed (Verma *et al.*, 2017). This
235 strain is phylogenetically very close to UT26 (Fig. 2), suggesting that these two strains
236 may be derived from the same ancestral lindane degrader. On the other hand, UT26/B90A,
237 MI1205, MM-1, and TKS appear to be phylogenetically dispersed on the basis of a 16S
238 rRNA gene analysis among closely related sphingomonad strains (Fig. 2). **Since UT26 and**
239 **B90A are very similar at whole genome level (Verma *et al.*, 2017), we mainly compared**
240 **UT26 and other three strains.** The gene **repertoires** of UT26, MI1205, MM-1, and TKS
241 (each strain has 4,128 to 5,248 ORF clusters of the total 10,325 ORF clusters among the
242 four strains) are quite different from each other (only 1,288 ORF clusters are shared)
243 (Tabata *et al.*, 2016c). These results clearly indicated that the four lindane degraders are
244 phylogenetically divergent, and it was strongly suggested that each of them acquired its
245 lindane **degradation ability independently.**

246 Lindane is degraded in MI1205, MM-1, TKS, and B90A by the same pathway as in
247 UT26 (Tabata *et al.*, 2016c; Verma *et al.*, 2017; Fig. 1). All five strains carry almost
248 identical *linA* to *linE* genes for the conversion of lindane to maleylacetate, and MI1205,

249 MM-1, and B90A also carry almost identical *linF* and *linGHIIJ* genes (*linI* encodes IclR-
250 family transcriptional regulator probably involved in the expression of *linGH* genes) for
251 the metabolism of maleylacetate, while different genes that show no significant similarity
252 to the *linF* and *linGHIIJ* genes at the DNA level (*linFb* and *linGHIIJ* homologues) are used
253 for the latter conversion steps in TKS (Tabata *et al.*, 2016c). The *linKLMN* genes for the
254 putative ABC transporter necessary for lindane utilization exhibit sequence divergence at
255 amino acid level, which reflects the phylogenetic relationship of their hosts. However, they
256 seem to have the same function, since the *linKLMN* homologues of MM-1, which is
257 phylogenetically the most distant strain from UT26, could complement the *linKLMN*
258 function in UT26 (Tabata *et al.*, 2016c). Moreover, the *linKLMN* homologues were found
259 not only in lindane degraders but also in non-lindane-degrading sphingomonad strains
260 (Nagata *et al.*, 2011). These findings strongly suggest that the *linKLMN* system is one of
261 the inherent functions necessary for lindane utilization in sphingomonads. In summary, it
262 can be concluded that the *lin* genes for the utilization of lindane consist of three types of
263 genes for (i) the “specific” pathway for lindane degradation, (ii) a common pathway for the
264 degradation of chlorinated aromatic compounds (where more than one gene has been found
265 for the function), and (iii) inherent function(s) in sphingomonads (Fig. 1).

266 The four strains also have putative genes for the degradation of aromatic compounds
267 (Tabata *et al.*, 2016c), but the numbers of such ORFs (62, 46, 27, and 25 for TKS, UT26,
268 MI1205, and MM-1, respectively) are much smaller than those in the versatile recalcitrant
269 pollutant degraders, *Cupriavidus necator* JMP134 (Perez-Pantoja *et al.*, 2008; Lykidis *et*
270 *al.*, 2010) and *Burkholderia xenovorans* LB400 (Chain *et al.*, 2006; Romero-Silva *et al.*,
271 2013) (149 and 135 for JMP134 and LB400, respectively). In particular, those in three
272 strains except TKS are even smaller than those in the typical metabolically versatile soil

273 bacterial strains *Burkholderia multivorans* ATCC 17616 (Stanier *et al.*, 1966; Yuhara *et al.*,
274 2008; Perez-Pantoja *et al.*, 2012; Nagata *et al.*, 2014) and *Pseudomonas putida* KT2440
275 (Nelson *et al.*, 2002)(73 and 62 for KT2440 and ATCC 17616, respectively). **No specific**
276 **genes for the degradation of other highly recalcitrant compounds were found in their**
277 **genomes. These results support our hypothesis that the lindane-degrading sphingomonad**
278 **strains are “specialists” for lindane degradation.**

279

280 **Plasmids in sphingomonads**

281 It is generally accepted that horizontal gene transfer (HGT) is an important mechanism of
282 microbial adaptation and genomic evolution (van der Meer *et al.*, 1992; Tsuda *et al.*, 1999;
283 Top and Springael, 2003; Springael and Top, 2004; Liang *et al.*, 2012; Touchon *et al.*,;
284 Millan, 2018; Partridge *et al.*, 2018; Sun, 2018; Cheng *et al.*, 2019). HGT between bacteria
285 in natural habitats is largely mediated by mobile genetic elements (MGEs), *e.g.*, self-
286 transmissible plasmids, transposons, integrons, IS elements, ICEs, and bacteriophages.
287 Among such known MGEs, plasmids are particularly important for the rapid adaptation of
288 bacteria towards xenobiotics (Davison, 1999; Liang *et al.*, 2012; Shintani and Nojiri, 2013;
289 Stolz, 2014), and genes for the degradation of recalcitrant compounds are also often
290 located on plasmids (Martinez *et al.*, 2001; Trefault *et al.*, 2004; Stolz, 2014).

291 **Although all five lindane-degrading strains carry almost identical specific *lin* genes**
292 **(*linA* to *linF*), they are dispersed on multiple replicons in the five strains (Table 1). In**
293 **UT26 and B90A, some of the specific *lin* genes are located on chromosomes. On the other**
294 **hand, all the specific *lin* genes are dispersed on multiple plasmids in various combinations**
295 **in TKS, MI1205, and MM-1, although additional copies of *linB* and *linC* are also located**
296 **on Chr1 in TKS (Table 1). The important point is that there are various replicon types of**

297 such plasmids carrying the specific *lin* genes (Table 2: see below). In other words, no
298 plasmid has been found carrying a whole set of the specific *lin* genes. **These observations**
299 **indicate that these strains acquired these genes by HGT, but not acquired a whole set of**
300 **responsible genes at once by the simple conjugative transfer of plasmids and/or ICEs as the**
301 **cases of aromatic compound-degrading strains** (Davison, 1999; Ohtsubo *et al.*, 2012;
302 Shintani and Nojiri, 2013).

303 We designated replicons having *rrn* operon(s) as “chromosomes”. Indeed, all the
304 main chromosomes (Chr1s) of the five lindane degraders have *Alphaproteobacterial-*
305 *chromosome-type replication origins (oriCs)* (Brassinga and Marczyński, 2001; Sibley *et*
306 *al.*, 2006). However, the Chr2s of UT26, TKS, and MI1205 have the plasmid-type
307 replication and active partition systems (Nagata *et al.*, 2011; Tabata *et al.*, 2016c). On the
308 basis of their replication/partition systems, it seems better to categorize them as plasmids.
309 Indeed, in B90A, pSRL2, which has a replication/partition region almost identical to that
310 of Chr2 of UT26, carries no *rrn* operon, and pSRL1, which has a replication/partition
311 region identical to that of pCHQ1 of UT26, carries an *rrn* operon (Table 1). Plasmids
312 including these plasmid-type chromosomes from sphingomonads can be classified based
313 on the similarities of their RepA (DNA replication initiator) proteins (Table 2). The RepA
314 proteins of plasmids in sphingomonads show a very low level of similarity to those of
315 well-studied plasmids (*e.g.*, IncP-1, F, IincP-7, and IncP-9 plasmids).

316 The sizes and gene contents of the same type plasmids, even ones having the
317 identical *repA* gene, are highly divergent (Table 2), suggesting that the plasmids in
318 sphingomonads underwent dynamic rearrangements. It was clearly indicated that the
319 replicons having highly conserved replication/partition genes are distributed among
320 sphingomonad strains with frequent recombination events including replicon fusion

321 (Tabata *et al.*, 2016c). Interestingly, six pISP4-type plasmids carry identical *repA* and *parA*
322 genes, and five of them also have other types of *repA* genes (Table 2), suggesting a
323 prevalent fusion event of replicons in the pISP4-type plasmids. It is noteworthy that all six
324 pISP4-type plasmids carrying identical *repA* and *parA* genes contain the *lin* genes (Table
325 2), suggesting that this type of plasmid plays an important role in dissemination of the *lin*
326 genes.

327 Among the sphingomonad plasmids listed in Table 2, conjugal transferability of
328 pCHQ1 and pLB1 has been experimentally confirmed (Nagata *et al.*, 2006; Miyazaki *et*
329 *al.*, 2006). Originally, pLB1, which carries two copies of *linB*, was isolated from HCH-
330 contaminated soil using the exogenous plasmid isolation technique (Miyazaki *et al.*, 2006).
331 Moreover, metagenomic analysis also suggested the importance of the horizontal transfer
332 of the specific *lin* genes by plasmids for HCH degradation in the environment (Sangwan *et*
333 *al.*, 2012). These facts strongly suggest that conjugative plasmids play important roles in
334 the distribution of the specific *lin* genes under environmental conditions. Since the
335 conjugation host range properties of pCHQ1 and pLB1 seem to be narrow (Nagata *et al.*,
336 2006; Miyazaki *et al.*, 2006), these conjugative plasmids may only contribute HGT among
337 sphingomonads-related bacteria.

338

339 **Genome “editing” role of IS6100 in lindane degraders**

340 *IS6100* is the most abundant in the UT26, B90A, TKS, MI1205, and MM-1 genomes (13,
341 26, 29, 24, and 15 copies, respectively: Table 1) among putative transposable elements,
342 including insertion sequence (IS) elements and Tn3-type transposons, suggesting that
343 *IS6100* can transpose and increase its copy number in these lindane degraders.

344 Transposition of *IS6100* was indeed detected by the IS entrapment experiments in UT26,
345 TKS, MI1205, and MM-1 (Tabata *et al.*, 2016c).

346 *IS6100* (i) belongs to the IS6 family, (ii) is 880 bp long and carries a transposase
347 gene and 14-bp terminal inverted repeats (IR) at both ends, (iii) has no apparent preference
348 of target specificity, and (iv) is a “replicative” IS element and causes its duplication with an
349 8-bp duplication of the target sequence by its transposition (Mahillon and Chandler, 1998).
350 Transposition of *IS6100* can generate three types of DNA rearrangements: intra-molecular
351 transposition with a deletion/resolution (intra-replicon 1) or inversion (intra-replicon 2)
352 event, and inter-molecular transposition with a fusion/integration (inter-replicon) event
353 (Fig. 3).

354 It is possible to infer the most plausible past events caused by transposition of
355 *IS6100* by comparison of the regions just upstream and downstream of copies of *IS6100* on
356 the basis of the *IS6100* transposition mechanism (Fig. 3). Not only simple transposition
357 with inversion but also transposition accompanied with the fusion and resolution of
358 replicons must have occurred by transposition of *IS6100* in the lindane degraders as
359 schematically shown in Fig. 4. In addition to the transposition, homologous recombination
360 between two copies of *IS6100* seemed to occur (Fig. 3), strongly suggesting that *IS6100*
361 can contribute to the dynamic genome rearrangements in the lindane degraders (Tabata *et*
362 *al.*, 2016c).

363 TKS was isolated from γ -HCH-enriched liquid cultivation of a microbial community
364 from a sediment sample contaminated with HCH isomers (Tabata *et al.*, 2016b). Recently
365 we found the previously inferred structures without *IS6100* in metagenome sequence of the
366 enrichment culture from which TKS was isolated (unpublished data), suggesting that such
367 *IS6100*-transposition events indeed occurred during the enrichment culture in liquid

368 medium and the repeated single-colony isolation processes on the solid medium. This fact
369 indicates that rapid genome evolution is occurring in bacteria and suggests that the genome
370 structure of the bacterial strain isolated in the laboratory may be different from the
371 ancestral strain inhabiting the environment.

372 **IS6100 is highly associated with *lin* genes** (Boltner *et al.*, 2005; Lal *et al.*, 2006;
373 Fuchu *et al.*, 2008; Lal *et al.*, 2010). A plasmid pLB1 that carries an IS6100-composite
374 transposon containing two copies of *linB* was isolated by the exogenous plasmid isolation
375 technique (Miyazaki *et al.*, 2006). These facts suggest that IS6100 plays an important role
376 in recruitment of the specific *lin* genes. Comparison of the specific *lin*-flanking regions in
377 the lindane-degrading strains revealed that not only the *lin* genes themselves but also their
378 flanking regions are highly conserved (Tabata *et al.*, 2016c). Interestingly, such conserved
379 regions are located very close to IS6100, and the distances between the IS6100 copies and
380 the *lin* genes vary, indicating that IS6100 is likely to play a crucial editing role in trimming
381 the regions unnecessary for lindane utilization and gathering the specific *lin* genes (Tabata
382 *et al.*, 2016c: Fig. 4). This observation supports the “selfish operon model”, in which HGT
383 allows genes to cluster into an operon by a series of approximations (Lawrence and Roth,
384 1996; Lawrence, 1999). At least, the most plausible explanation is that the transposition of
385 IS6100 led to the diversification of the distribution and organization of the *lin* genes in the
386 genomes.

387 The distance between IS6100 and *linA* is the longest in UT26, and the *linB* gene in
388 UT26 has no IS6100 element in its flanking regions. Moreover, IS6100 is located at only
389 one side of *linC* and the *linRED* cluster in UT26 (Nagata *et al.*, 2011; Tabata *et al.*, 2016c).
390 These results suggested that UT26 is the closest to the prototype of the lindane degrader, at
391 least among the five strains whose complete genomes were determined (Table 1). In

392 addition, *IS6100* seems to be involved in the genetic instability of the specific *lin* genes.
393 The *linA*, *linC*, and *linRED* genes in UT26 are genetically unstable, *i.e.*, spontaneous
394 deletion mutants of the regions containing these genes could easily be obtained, and these
395 deletion processes in the mutants can be most simply explained by the involvement of
396 *IS6100* (Nagata *et al.*, 2011).

397 As in the case of *IS6100*, *IS1071*, a member of the Tn3 family, is also often
398 associated with the genes for the degradation of xenobiotics, including atrazine (Udikovic-
399 Kolic *et al.*, 2012), 2,4-D (Liang *et al.*, 2012), and linuron (Dunon *et al.*, 2018), suggesting
400 that *IS1071* also has functions like those of *IS6100*. Generally, diverse IS family
401 transposase genes are associated with genes for the degradation of xenobiotics (Liang *et*
402 *al.*, 2012). It will be of great interest to learn how such combinations between IS elements
403 and degradative genes have arisen.

404

405 **Emergence and evolution of lindane-degrading bacteria**

406 Comparison of the genomes of lindane-degrading sphingomonad strains strongly suggested
407 that the lindane-degrading bacteria emerged through recruitment of the specific *lin* genes
408 into an ancestral strain that **had core functions of sphingomonads, which are inherent ones**
409 **of this bacterial group and necessary for the assimilation of lindane**, such as the
410 LinKLMN-type ABC transporter system and the β -ketoadipate pathway (Fig. 5). **Other**
411 **unknown core functions may exist**. One of the most important conclusions at present is that
412 lindane-degraders seemed to emerge independently and in parallel around the world.
413 Multiple plasmids whose replication/partition machineries are highly conserved in
414 sphingomonads might have played important roles in the recruitment of the specific *lin*
415 genes by their HGT. Along with the HGT, *IS6100* might have contributed to integration of

416 the specific *lin* genes into replicons that already existed in the ancestral sphingomonad
417 strains. It is also speculated that IS6100 is involved in the recruitment of the *lin* genes from
418 an environmental “gene pool” whose details are still obscure.

419 Primitive lindane degraders seemed to be diversified by the involvement of IS6100,
420 *e.g.*, through its transposition and homologous recombination between two copies of it, and
421 other mutations (Fig. 5). **As a result, the distribution and organization of the *lin* genes in**
422 **genomes were diversified.** Since most of the genomic regions of B90A and UT26 are
423 highly conserved, B90A may be a strain emerged from a common ancestral lindane
424 degrader with UT26 (Verma *et al.*, 2017). However, the replicon organizations of these two
425 strains are different from each other (Table 2), and the difference cannot be explained only
426 by the involvement of IS6100. At least acquisition and/or loss of some plasmids seemed to
427 have occurred during the diversification process of the two strains.

428 After continued diversification, selective pressure may produce the “evolved”
429 lindane degraders in the future (Fig. 5). The *lin* system still seems to be evolving toward
430 one or more optimal states, *e.g.*, by gathering the *lin* genes into a single replicon, by
431 forming an operon of the *lin* genes, and by the continued evolution of Lin enzymes. It is
432 noteworthy that pMI1 is a replicon that has almost all the specific *lin* genes (Table 1), and
433 if the *linA* gene is introduced into this plasmid, a replicon carrying all the genes encoding
434 enzymes necessary for the conversion of lindane to TCA cycle intermediates will be
435 created. On the other hand, it is also important that IS6100 is involved in the loss of the *lin*
436 genes as described above (Nagata *et al.*, 2011), and thus can contribute to the adaptation
437 for other conditions under which the *lin* genes are no longer necessary.

438

439 **Concluding remarks**

440 The genome sequences of a vast number of bacterial strains have been determined, and it
441 has become possible to discuss the emergence and evolution of bacterial strains that
442 degrade xenobiotics on the basis of their genomic information. Here, we presented a
443 hypothesis to explain how the lindane-degrading bacteria emerged and are evolving. In the
444 future, our hypothesis may be confirmed in experiments using the lindane degraders and
445 their related but non-lindane-degrading and/or IS6100-free sphingomonad strains. The
446 genomes of lindane-degrading bacteria are also good examples of how readily and quickly
447 the bacterial genomes are changing, suggesting that we are currently just observing “snap
448 shots” of the bacterial genomes. It should be noted that the strains we isolated through
449 enrichment culture and single colony isolation processes under laboratory conditions may
450 be artificial strains that never existed in natural environments.

451 Currently many draft genome sequences of other HCH (including not only lindane
452 but also other HCH isomers) degraders and their related but non-HCH-degrading strains
453 are available. Their comparative analyses provided us some important primary information
454 on the evolution of HCH-degraders with the involvement of plasmids and insertion
455 sequences (Verma *et al.*, 2014; Pearce *et al.*, 2015). However, only analysis of the
456 complete genome sequences can provide us some advanced information, *e.g.*, (i) the
457 genome organizations of lindane degraders, (ii) the localization of *lin* genes on their
458 genomes, and (iii) how plasmids/insertion sequences are involved in the emergence and
459 evolution of lindane degraders (Tabata *et al.*, 2016c; Verma *et al.*, 2017).

460 Lastly, the origin of the specific *lin* genes is still a mystery. Especially, the genetic
461 origin of *linA* remains completely unknown, since no sequence has been found that shows
462 significant similarity to the *linA* gene, despite the availability of a large number of
463 nucleotide sequences including metagenomes. The *linA* gene was partially reconstructed *in*

464 *vitro* by using a technique called metagenomic DNA shuffling (Boubakri *et al.*, 2006). In
465 the reconstruction, 74% of the *linA* gene came from metagenomic DNA extracted from
466 non-HCH-contaminated and *linA*-free soils. This interesting study demonstrated that even
467 noncontaminated soils have the potential to create the *linA* gene. The *linA* gene might be
468 created in the environment by bacterial adaptability to novel compounds through a DNA
469 shuffling process. However, no evidence has been reported to date that a new gene was
470 created via a combination of independent small DNA fragments in the environment,
471 although it is generally accepted that genes for enzymes evolved via duplication and
472 recombination of smaller functional elements (Peisajovich *et al.*, 2006). Alternatively, we
473 speculate that the *linA* gene already existed in the “gene pool” from which bacteria draw
474 genes according to their need. The *linA* gene should be a useful probe for exploring this
475 cryptic gene pool available to bacteria.

476

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480

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775

776 **Figure legends**

777

778 **Fig. 1. Functions necessary for lindane utilization in sphingomonads.** Enzymes for
779 the specific pathway for lindane degradation and for a common pathway for degradation of
780 chlorinated aromatic compounds, *e.g.*, 2,4,5-T, 2,4-D, and PCP, are shown in red and blue,
781 respectively. Genes for these functions are often located on plasmids and may be obtained
782 by horizontal gene transfer. Note that β -keto adipate pathway is common pathway for the
783 degradation of aromatic compounds and is widely distributed among environmental
784 bacteria. 1,2,4-TCB and 2,5-DCP are dead-end products, and 2,5-DCP has toxic effect on
785 the cells, **although these are experimentally confirmed only in UT26.** The LinKLNm-type
786 ABC transporter, which is an inherent function in sphingomonads, is involved in the
787 tolerance for the toxic effect of 2,5-DCP. See text for detail.

788

789 **Fig. 2. Phylogenetic tree of 16S rRNA genes of sphingomonad strains.** Neighbor-
790 joining phylogenetic tree of the conserved sites in 16S rRNA genes of 13 sphingomonad
791 strains, *S. japonicum* UT26S (UT26_1, SJA_C1-r0010; UT26_2, SJA_C2-r0010; UT26_3,
792 SJA_C2-r0040), *Sphingobium indicum* B90A (B90A_1, Chr_3240756_3242252; B90A_2,
793 pSR1_46051_47546), *Sphingobium francense* Sp+ (Sp+, NR_042944), *Sphingobium* sp.
794 TKS (TKS_1, Chr1_62351_63846; TKS_2, Chr2_117006_118503; and TKS_3,
795 Chr2_376042_377539_c), *Sphingobium chlorophenolicum* L-1 (L-1_1, Sphch_R0043; L-
796 2_2, Sphch_R0058; L-1_3, Sphch_R0067), *Sphingomonas* sp. SKA58 (SKA58_1,
797 SKA58_r00366; SKA58_2, SKA58_r18278), *Sphigobium* sp. MI1205 (MI1205_1,
798 Chr1_64638_66133; MI1205_2, Chr2_561355_562850_c), *Sphingobiums* sp. SYK-6
799 (SYK6_1, SLG_r0030; SYK6_2, SLG_r0060), *Sphingomonas wittichii* RW1 (RW1_1,

800 Swit_R0031; RW1_2, Swit_R0040), *Sphingomonas* sp. MM-1 (MM-1_1,
801 Chr_1791835_1793331_c; MM-1_2, Chr_2084177_2085673_c), *Sphingopyxis alaskensis*
802 RB2256 (RB2256, Sala_R0048), *Novosphingobium* sp. PP1Y (PPY_1, PP1Y_AR03;
803 PPY_2, PP1Y_AR23 ;PPY_3, PP1Y_AR65), and *N. aromaticivorans* DSM 12444
804 (DSM_1, Saro_R0065; DSM_2, Saro_R0059; DSM_3, Saro_R0053) was constructed
805 using MAFFT program (<http://mafft.cbrc.jp/alignment/software/>) and visualized by Njplot
806 software. 16S rRNA gene (*rrsE*: gene ID 7437018) of *Escherichia coli* str. K-12 substr.
807 W3110 (*E. coli*) was used as an out-of-group sequence. Bootstrap values calculated from
808 1,000 resampling using neighbor-joining are shown at the respective nodes. Length of the
809 horizontal lines reflects relative evolutionary distances among the sequences.
810 *Sphingomonas* sp. SKA58 should be *Sphingobium* sp. SKA58 on the basis of
811 comprehensive 16S rDNA analysis. γ -HCH degraders are shown in red.

812

813 **Fig. 3. Patterns for transposition of IS6100.** Transposition into the same replicon with
814 deletion/resolution (A. intra-replicon 1) or inversion (B. intra-replicon 2), and transposition
815 between two replicons with fusion/integration (C. inter-replicons) are schematically shown.
816 IS6100 (880 bp) is shown as blue pentagon and squares on the both sides showing
817 direction of the *tnpA* gene (795 bp) and the 14-bp inverted repeats, respectively. All these
818 transpositions cause duplication of IS6100 and generate 8-bp target (triangle) duplication at
819 the upstream and downstream of IS6100. See the reference (Tabata *et al.*, 2016c) for more
820 detailed reaction mechanism.

821

822 **Fig. 4. Schematic patterns of “editing” roles of IS6100 found in lindane degraders.**

823 Blue and red pentagons indicate IS6100 and *lin* genes, respectively. Transposition of

824 IS6100 on the replicon A (red) (i) into replicon B (blue) with fusion lead to gathering the
825 *lin* genes into one replicon, (ii) into replicon A with deletion lead to trimming unnecessary
826 regions, and (iii) into replicon A with inversion lead to shortening the distance between the
827 *lin* genes.

828

829 **Fig. 5. Proposed model for the emergence and evolution of lindane-degrading**

830 **sphingomonad strains.** Ancestral various non-lindane-degrading sphingomonad strains

831 with core functions, *e.g.*, LinKLMN-type ABC transporter and β -ketoadipate pathway,

832 turned to be primitive lindane degraders by the acquisition of specific *lin* genes using

833 sphingomonads-specific multiple plasmids and IS6100. The primitive lindane degraders

834 were diversified by the involvement of IS6100 and other mutations. The selective pressure

835 may produce the 'evolved' lindane degraders in the future. See text for detail.

836