# Atomic Diffusion Bonding Using Oxide Underlayers for Optical Applications

G. Yonezawa<sup>1,4\*</sup>, Y. Takahashi<sup>2</sup>, Y. Sato<sup>1</sup>, S. Abe<sup>3</sup>, M. Uomoto<sup>4</sup> and T. Shimatsu<sup>4,5\*</sup>

1 Sony Corp., 1-7-1 Konan Minato-ku, Tokyo, 108-0075, Japan

2 Sony Imaging Products & Solutions Inc., 1-7-1 Konan Minato-ku, Tokyo, 108-0075, Japan

3 Sony Global Manufacturing & Operations Corporation, 1-7-1 Konan Minato-ku, Tokyo, 108-0075, Japan

4 Frontier Research Institute for Interdisciplinary Sciences (FRIS), Tohoku University, Sendai 980-8578, Japan

5 Research Institute of Electrical Communication (RIEC), Tohoku University, Sendai 980-8577, Japan

\*E-mail: gen.yonezawa@sony.com; shimatsu@riec.tohoku.ac.jp

Atomic diffusion bonding (ADB) of quartz glass wafers using thin Ti films, with  $SiO_2$  underlayers on wafer surfaces, provides 100% light transmittance at the bonded interface along with strong bonding energy, after post-bonded low-temperature annealing. Cross-section images obtained using transmission electron microscopy (TEM) show that the bonded interface after annealing at 350°C consists of amorphous structure including nanocrystalline grains. Structural analysis using electron energy loss spectroscopy (EELS) shows that post-bonded annealing enhances oxidation of Ti with oxygen dissociated from SiO<sub>2</sub> underlayers, and that Ti oxides form close to TiO<sub>2</sub> or Ti<sub>4</sub>O<sub>7</sub>. This oxidation provides 100% light transmittance with high bonding strength attributable to the annealing. Moreover, we applied this technique for bonding glass and sapphire wafers using SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers, demonstrating that 100% light transmittance and control of refractive index matching are achieved simultaneously at the bonded interface.

# 1. Introduction

In recent years, the power and wall-plug efficiency performance of blue laser diodes have improved.<sup>1)</sup> Consequently, high-brightness liquid crystal on silicon (LCOS) projector products equipped with laser light sources are used<sup>2)</sup> increasingly for digital cinema, projection mapping, and simulation. Such LCOS systems usually use a polarizing beam splitter (PBS) for RGB channels.3) The PBS transmits p-polarized light and reflects s-polarized light. However, as the projector's brightness increases, 4-6) the optical density inside the optical element also increases such that the organic adhesive in the optical interface becomes less reliable because of the strong photon and thermal energy of blue light emitted from the laser diode. New inorganic bonding methods that provide both high light transmittance and high bonding strength are necessary for optical interfaces of high optical density devices. In addition, bonding interface is also required to meet optical index matching at the bonded interface to avoid Fresnel's loss<sup>7</sup> like that optical adhesive with refractive index matching to substrate is selected. 8-10)

Atomic diffusion bonding (ADB) of two flat wafers with thin metal films is a promising process to achieve room-temperature wafer bonding<sup>11–15</sup> along with surface-activated bonding.<sup>16–19</sup> Actually, ADB can be done even with film thickness of a few angstroms on each side. Thin Ti films are useful to achieve high light transmittance while maintaining high bonding strength to bond oxide wafers such as synthetic quartz crystal<sup>14, 15</sup>. However, optical energy absorption of 2% remains at the bonded interface, even when the wafers are bonded using 0.2 nm thick Ti films on each side.

To resolve this difficulty, we propose ADB using oxide underlayers to provide 100% light transmittance at the bonded interface.<sup>20)</sup> Here, 100% light transmittance means that loss of optical power at the bonded interface is lower than a detection limit. Figure 1 provides the process scheme of atomic diffusion bonding with oxide underlayers and post-bonded annealing process. For this process, oxide underlayers were deposited on wafers. Then the wafers with oxide underlayers were bonded using thin metal film in vacuum at room temperature as a usual atomic diffusion process. Thereafter, the bonded sample was taken out from the vacuum chamber, and was annealed in air. As a primitive study, we deposited SiO<sub>2</sub> underlayers on guartz glass wafers using ion-beam assisted deposition (IAD). The wafers with SiO<sub>2</sub> underlayers were bonded using thin Ti films in vacuum at room temperature as a usual atomic diffusion process. After post-annealing at 300 °C, 100% light transmittance at the bonded interface was achieved, even for the interface bonded using 1-nm-thick Ti film on each side, along with surface free energy for the bonded interface greater than 2  $J/m^{2,20}$  Cross-section images observed using transmission electron microscopy (TEM) revealed that the bonded interface after annealing was much thicker than the as-bonded interface and that it was more than twice the original total thickness of Ti films.<sup>21)</sup> This finding suggests that SiO<sub>2</sub> underlayers enhance Ti oxide formation with oxygen dissociated from SiO2 underlayers by annealing, providing high 100% light transmittance with high bonding strength. It is reasonable to infer that oxide materials other than SiO<sub>2</sub> are useful for the underlayer. Using adequate oxide materials other than SiO<sub>2</sub> for underlayers can enable tuning of the optical refractive index at the bonded interface between optical wafers with any optical refractive index: not just that of quartz glass wafers. Such materials would extend the application of this bonding technique to almost all optical interfaces between mirror-polished surfaces of any material.



Fig. 1. Schematic illustration of atomic diffusion bonding with oxide underlayers and post-bonded annealing.

This study elucidated the interface nanostructure of SiO<sub>2</sub> films bonded with thin Ti films to clarify the mechanism providing 100% light transmittance at the bonded interface using electron energy loss spectroscopy (EELS).<sup>22)</sup> Moreover, to confirm the potential of this bonding technique for use with various optical interfaces, we examined the ADB of glass and sapphire wafers using SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> composite underlayers that provide both refractive index matching and high light transmittance at the bonded interface.

### 2. Experimental methods

In atomic diffusion bonding, wafers with oxide underlayers were introduced into a vacuum chamber with base pressure of less than  $5 \times 10^{-7}$  Pa. Thin Ti films were fabricated using sputter deposition on the oxide underlayer surfaces of both sides of wafers. Subsequently, the two Ti films on the wafers were bonded under vacuum at room temperature. For this study, Ti was used because of its high light-transmittance characteristics over a wide range of the spectrum, a large self-diffusion coefficient, and high film adhesion strength on wafers. The Ti film thickness on each side was defined as  $\delta$ . In post-bonded annealing processes, the bonded wafers were removed from the vacuum chamber into the atmosphere and were heated in air using an oven. The annealing temperature was varied from room temperature to 400°C. The annealing time was fixed at 1 hr.

In an earlier part of this study, quartz glass wafers with SiO<sub>2</sub> underlayers were bonded using ADB with thin Ti films ( $\delta$ =1 nm) and were used for EELS analysis. The sample structure is presented schematically in Fig. 2(A).

In the latter section of this paper, as shown in Fig. 2(B), we used underlayers of a composite material:  $SiO_2-Nb_2O_5$ , which is commonly used industrially for optical thin films.

The Optical refractive index measured using the Fraunhofer helium d-spectral line with 587.6 nm wavelength, which is often used for lens materials, was used in this study. It was defined as  $n_d$ . The value of  $n_d$  for SiO<sub>2</sub> ( $n_d$ (SiO<sub>2</sub>)) is 1.46. That for Nb<sub>2</sub>O<sub>5</sub> ( $n_d$ (Nb<sub>2</sub>O<sub>5</sub>)) is 2.35. The value of  $n_d$  for SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> composite materials can be controlled by adjusting the relative volume fraction of SiO<sub>2</sub> and Nb<sub>2</sub>O<sub>5</sub>.<sup>23, 24</sup> As seen in Table I, two bonded samples were fabricated as sample A and sample B. The former comprises glass substrates (Eagle XG; Corning Inc.) with  $n_d$ =1.52 bonded using SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> composite underlayers with  $n_d$ =1.52 (tuned to be identical to that of the glass substrate). The latter is a sapphire substrate with  $n_d$ =1.76 bonded using SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> composite underlayers with  $n_d$ =1.77 (almost identical to that of a sapphire substrate).

Two-inch-diameter micro-polished wafers (synthetic quartz glass, glass, or sapphire) with 0.5 mm thickness were used as substrates. SiO<sub>2</sub> underlayers of 5000-nm film thickness were deposited using ion-beam assisted deposition (IAD). After SiO<sub>2</sub> underlayer deposition, the SiO<sub>2</sub> underlayer surface was finely micro-polished using chemical mechanical polishing (CMP). After the CMP process, the surface roughness  $S_a$  evaluated using atomic force microscopy (AFM) was 0.3 nm or less. Radical-assisted sputtering (RAS)<sup>24–27)</sup> was used to deposit 5000-nm-thick SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> film underlayers on the wafer

surface. Actually, RAS method is often used for the deposition of manufacturing optical filter parts for digital cameras and LCD projectors. The oxide underlayer surface was finely micro-polished using CMP. The value of  $S_a$  after the CMP process was 0.5 nm or less.

The light-transmittance spectrum of the sample was measured using an optical spectrometer. Fresnel loss between the wafer surfaces and air was excluded using the following equation (1).

$$T = (1 - R1)t(1 - R2), \ t = \frac{T}{(1 - R1)(1 - R2)}$$
(1)

Here, *T* signifies total transmittance, *R*1 expresses the incident-side surface reflectance, *R*2 denotes the exit-side reflectance, and *t* stands for the bonded interface transmittance. Surface free energy at the bonded interface,  $\gamma$ , was assessed using the blade method reported by Maszara.<sup>28)</sup>

# 3. Results and discussion

3.1 Bonded interface nanostructure providing 100% light transmittance

Quartz glass wafers with SiO<sub>2</sub> underlayers were bonded using ADB with Ti films ( $\delta$ =1 nm) and were used for TEM and EELS analyses. As described in an earlier paper<sup>20</sup>, light transmittance of 100% was achieved at post-bonded annealing temperature of 300°C even with  $\delta$ of 1.0 nm. Moreover, post-bonded annealing enhanced the  $\gamma$  values of wafers. Actually, we were unable to evaluate  $\gamma$ value after the annealing at 300°C because the blade could not be inserted between the wafers.

Figure 3 presents TEM cross-section images of the bonded interface for (A) an as-bonded sample and (B) after annealing at 350°C. The thickness of the bonded interface after annealing was 4.4 nm, which was much thicker than that of as-bonded interface. This thickness is more than



Fig. 2. Sample structures used for the study.

**Table I.** Optical substrates of two types bonded using  $SiO_2-Nb_2O_5$  composite underlayers, sample A and sample B. Materials of substrates, the values of  $n_d$  of substrates and  $SiO_2-Nb_2O_5$  underlayers are shown.

		Sample A	Sample B
Substrate	Material	Glass (Eagle XG, Corning)	Sapphire
	$n_{\rm d}$	1.52	1.76
$n_{\rm d}$ of SiO <sub>2</sub> -Nb <sub>2</sub> O <sub>5</sub> composite underlayers		1.52	1.77

twice that of the original total thickness of Ti films. Crystal lattice images of several quantities of lattices with random orientation were partially observed in image (B) but no electron diffraction patterns were observed. This result suggested that the thick bonded interface consisted of an amorphous structure including nanocrystalline grains. The increase of interface thickness by annealing is likely to be attributable to the enhancement of Ti oxidation with oxygen dissociated from SiO<sub>2</sub> underlayers. However, the interface between Ti films and SiO<sub>2</sub> underlayers was clear even after the annealing, indicating that the diffusion of Ti to SiO<sub>2</sub> underlayers is negligible even after annealing at  $350^{\circ}$ C.

The center of the bonded interface thickness was analyzed using EELS. Figure 4(A) presents EELS spectra of these samples. Spectra around Ti-L edge with high magnification are portrayed in Fig. 4(B). Two peaks of Ti-L edge and weak peaks of O-K edge were observed in the as-bonded sample. These peaks suggest that the as-bonded interface consists of slightly oxidized Ti or Ti-O.<sup>29-31)</sup> After annealing, peaks of O-K edge were clearly observed. Two peaks of Ti-L edge shifted to the high energy side. Moreover, a weak peak corresponding to the peak positions of crystalline  $TiO_2$  or  $Ti_4O_7^{29-31}$  appeared on the left side shoulder of Ti-L<sub>3</sub> by annealing. These results suggest that annealing enhanced oxidation of Ti and that Ti oxides close to  $TiO_2$  or  $Ti_4O_7$  were formed in the bonded interface. Figure. 5(A) presents an image of the bonded interface after 350°C annealing observed using bright field scanning transmission electron microscopy (BF-STEM). The EELS spectra at points A, B (center), and C are shown in (B).



Fig. 3. TEM cross-section images of the bonded interface: (A) as-bonded sample and (B) after annealing at 350°C. SiO<sub>2</sub> films on quartz glass wafers were bonded using ADB with Ti(1.0 nm) on each side.



Fig. 4. (A) EELS Spectra at the center of the bonded interface thickness for samples shown in Fig. 3. (B) Spectra around Ti-L edge with high magnification.



Fig. 5. (A) Image of the bonded interface after 350°C annealing observed using bright field scanning transmission electron microscopy (BF-STEM). (B) EELS spectra at points A, B(center), and C in (A).

Three spectra are mutually overlapped, indicating high uniformity of Ti oxide formation in the bonded interface. The diffusion length of oxygen dissociated from  $SiO_2$  underlayers is likely to be much larger than the Ti thickness, although diffusion of Ti to  $SiO_2$  underlayers is negligible, which results in the homogeneous formation of Ti oxide with sharp boundaries.

Here, ADB for these samples was performed using 1 nm thick Ti film on each side. Assuming that total 2 nm thick Ti films form oxide compounds with oxygen dissociated from SiO<sub>2</sub> underlayers, then a simple calculation revealed that the thicknesses of the formed oxide materials are 3.5 nm for TiO<sub>2</sub> (rutile) and 4.7 nm for Ti<sub>4</sub>O<sub>7</sub>. These thicknesses show good agreement with those observed in TEM images in Fig. 3. This agreement supports our inference that the interface structure after the  $350^{\circ}$ C annealing is Ti oxides close to TiO<sub>2</sub> or Ti<sub>4</sub>O<sub>7</sub>.

These structural analyses indicated that oxygen dissociated from oxide layers enhanced Ti film oxidation, providing high 100% light transmittance with high bonding strength attributable to the annealing.

# 3.2 High transmittance bonding with optical refractive matching

Figure 6 presents the values of the light transmittance at 587.6 nm wavelength for glass wafers ( $n_d=1.52$ ) with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers ( $n_d$ =1.52) bonded using Ti films  $(\delta = 1 \text{ nm})$  as a function of annealing temperature (solid line). The 587.6 nm wavelength is identical to that used for the definition of  $n_{d}$ . Results for synthetic quartz glass wafers using SiO<sub>2</sub> underlayers bonded with identically thick Ti films<sup>20)</sup> are also shown for comparison (dotted line). The light transmittance for glass wafers with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers increased gradually, achieving 100% at the annealing temperature of 300°C. The variation as a function of annealing temperature is almost identical to that of synthetic quartz glass wafers using SiO2 underlayers, indicating that the oxidation of Ti films with oxygen dissociated from SiO2-Nb2O5 underlayers was controlled identically to that from SiO<sub>2</sub> underlayers, although the film deposition techniques used for these films differed. Moreover, achievement of 100% light transmittance at the bonded interface indicated good refractive index matching using SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers. Actually, average light transmittance of 400-700 nm was also 100% achieved in this sample.

Figure 7 presents light transmittance values at the 587.6 nm wavelength for sapphire wafers ( $n_d$ =1.76) with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers ( $n_d$ =1.77) bonded using Ti films ( $\delta$ =0.5 nm) as a function of the annealing temperature (solid line). Results for synthetic quartz glass wafers using SiO<sub>2</sub> underlayers bonded with identically thick Ti films<sup>20</sup>) are also shown for comparison (dotted line). The light transmittance for as-bonded sapphire wafers with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers was higher than that with synthetic quartz glass wafers using SiO<sub>2</sub> underlayers. This higher transmittance is attributable mainly to the difference of film deposition method of underlayers. The light transmittance for as-bonded sapphire wafers with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers increased further as the annealing temperature increased and achieved 99% at annealing

temperature of 400°C. It is noteworthy that the light transmittance did not achieve 100%, even at 400°C, because of a slight difference of refractive index matching between sapphire wafers ( $n_d$ =1.76) with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers ( $n_d$ =1.77).

## 4. Conclusions

Results indicate that post-bonded annealing at  $350^{\circ}$ C enhances oxidation of Ti films with oxygen dissociated from SiO<sub>2</sub> underlayers, and indicate that Ti oxides close to TiO<sub>2</sub> or Ti<sub>4</sub>O<sub>7</sub> are formed in the interface. These phenomena provide 100% light transmittance with high bonding strength attributable to the annealing. Moreover, our application of this bonding technique for glass and sapphire wafers using SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers demonstrated that 100% light transmittance and control of refractive index matching were achieved simultaneously at the interface bonded using thin Ti films.



**Fig. 6.** Values of the light transmittance at 587.6 nm wavelength for glass wafers ( $n_d$ =1.52) with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers ( $n_d$ =1.52) bonded using Ti films ( $\delta$ =1 nm) as a function of annealing temperature (solid line). Results for synthetic quartz glass wafers using SiO<sub>2</sub> underlayers bonded with identically thick Ti films<sup>20</sup> are also shown for comparison (dotted line).



**Fig. 7.** Values of light transmittance at 587.6 nm wavelength for sapphire wafers ( $n_d$ =1.76) with SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> underlayers ( $n_d$ =1.77) bonded using Ti films ( $\delta$ =0.5 nm) as a function of the annealing temperature (solid line). Results for synthetic quartz glass wafers using SiO<sub>2</sub> underlayers bonded with identically thick Ti films<sup>20</sup> are also shown for comparison (dotted line).

As described in our earlier paper,<sup>20)</sup> the necessary annealing temperature for 100% light transmittance can be reduced to 150°C using suitable deposition techniques. It is reasonable to infer that oxide materials other than SiO<sub>2</sub> and SiO<sub>2</sub>-Nb<sub>2</sub>O<sub>5</sub> are useful for the underlayer. ADB using oxide underlayers, which is applicable to almost all optical interfaces between mirror-polished surfaces of any material, is expected to be useful to fabricate optical devices with high optical density such as high-brightness projectors with laser light sources. Moreover, one can reasonably infer that achievement of 100% light transmittance at the bonded interface by oxidation enhancement indicates a lack of electrical conductivity at the bonded interface, and that this method is applicable for fabricating new electronic devices.

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