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**Superselective drug delivery using doxorubicin-encapsulated
liposomes and ultrasound in a mouse model
of lung metastasis activation**

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1 **Abstract**

2 Conventional treatment of lymph node metastasis involves dissection of the tumor and
3 regional lymph nodes, but this may cause activation of latent metastatic tumor cells.
4 However, there are few reports in animal models regarding the activation of latent
5 metastatic tumor cells and effective methods of treating activated tumor cells. Here,
6 we report the use of a superselective drug delivery system in a mouse model of lung
7 metastasis to treat activated tumor cells with doxorubicin-encapsulated liposomes
8 (DOX-LP) and ultrasound. The axillary lymph node was injected with DOX-LP and
9 exposed to ultrasound so that the released DOX would be delivered from the axillary
10 lymph node to the metastatic lung via the subclavian vein, heart and pulmonary artery.
11 The size of the DOX-LP was optimized to a diameter of 460 nm using indocyanine
12 green-encapsulated liposomes, and the ultrasound intensity was 0.5 W/cm². We found
13 that the superselective drug delivery system was effective in the treatment of
14 metastasis in both the lung and axillary lymph node, compared to DOX or DOX-LP
15 alone. We anticipate that this superselective drug delivery system will be a starting
16 point for the development of new techniques for treating lung metastasis in the clinical
17 setting. Furthermore, the superselective drug delivery system may be used to screen
18 novel drugs for the treatment of lung cancer and investigate the mechanisms of
19 tumor cell activation after resection of a primary tumor or lymph nodes.

20

21 **Key words:** doxorubicin; liposomes; ultrasound; lung metastasis; drug delivery
22 system

23

1 **Introduction**

2 Excision of a primary tumor is beneficial for the local control of cancer, but this
3 procedure risks the promotion of metastasis via homeostatic mechanisms (Demicheli,
4 et al. 2008). In breast cancer (Tagliabue, et al. 2003), head and neck cancer (Sano, et
5 al. 2013), lung cancer (Maniwa, et al. 1998) and other tumors, tumor activation and
6 rapid growth after resection of the primary lesion have been confirmed by clinical
7 (Braunschweiger, et al. 1982) and animal experiments (Fisher, et al. 1989, Van
8 Dierendonck, et al. 1991). Lymph node (LN) dissection is recommended in many
9 cancer therapy guidelines, but this can induce the activation of latent tumor in distant
10 organs (White, et al. 2002). However, there is a paucity of data on the activation of
11 tumor cells in distant organs caused by LN dissection, mainly due to the lack of
12 suitable animal models. Our research group has developed a mouse model of lung
13 metastasis activation using MXH10/Mo-*lpr/lpr* (MXH10/Mo/*lpr*) mice (Shao, et al.
14 2015). In this model, tumor cells are inoculated into the subiliac LN (SiLN) so that
15 they are delivered to the proper axillary LN (PALN) via lymphatic vessels and to the
16 lung via blood vessels. Metastatic tumor cells in the PALN continue to grow after
17 resection of the SiLN, and the probability of metastasis to the lung is increased in the
18 interval between SiLN inoculation and resection. In the present study, we report the
19 use of a novel, superselective drug delivery system to treat lung metastasis in our
20 mouse model using doxorubicin-encapsulated liposomes (DOX-LP) and ultrasound
21 (US). The metastatic PALN was injected with DOX-LP and then exposed to US. The
22 released DOX was delivered from the PALN to the lung via the subclavian vein,
23 heart and pulmonary artery. It was found that the superselective drug delivery system
24 was very effective at treating metastasis in the lung as well as in the PALN, as

1 compared to DOX or DOX-LP alone.

2

3 **Materials and Methods**

4 All *in vivo* protocols were approved by the Institutional Animal Care and Use
5 Committee of Tohoku University.

6

7 **Mice**

8 MXH10/Mo/lpr mice (16–18 weeks) were bred under specific pathogen-free
9 conditions in the Animal Research Institute, Graduate School of Medicine, Tohoku
10 University (Shao, et al. 2013). Figure 1A shows an anatomical drawing illustrating
11 the features of the lymphatic and venous systems in an MXH10/Mo/lpr mouse
12 (Takeda, et al. 2017). The efferent lymphatic vessel of the PALN is connected to the
13 subclavian vein (Shao, et al. 2013). The thoracoepigastric vein runs adjacent to the
14 SiLN and PALN and connects to the inferior vena cava and subclavian vein.

15

16 **Preparation of indocyanine green (ICG)- and doxorubicin-encapsulated** 17 **liposomes**

18 Preparation of a thin lipid film and encapsulation of ICG were carried out as
19 described previously (Mikada, et al. 2017, Miura, et al. 2016). The composition of
20 the lipid film was 1,2-distearoyl-sn-glycero-3-phosphatidylcholine (DSPC; MC8080,
21 NOF Co., Tokyo, Japan) and
22 1,2-distearoyl-sn-glycerol-3-phosphatidylethanolamine-methoxy-polyethylenglycol
23 (DSPE-PEG[2000-OMe]; DSPE-020CN, NOF Co.) in a ratio of 94:6 mol/mol.
24 ICG-encapsulated liposomes of differing sizes (ICG-LP1 and ICG-LP2) were made

1 using a freeze-thaw method (Mikada, et al. 2017). The particle size and zeta potential
2 of the ICG-encapsulated liposomes (ICG-LP1 or ICG-LP2) were measured using a
3 dynamic light-scattering method (ELS-Z2, Otsuka Electronics, Tokyo, Japan). The
4 physicochemical characteristics of ICG-LP1 and ICG-LP2 are shown in Table 1.
5 DOX-LP was prepared by the remote loading method (Fritze, et al. 2006, Matsuki, et
6 al. 2017). The composition of the lipid film was the same as that for ICG-LP1 and
7 ICG-LP2. The efficiency of DOX encapsulation was determined by
8 spectrophotometry at 480 nm (SpectraMax2Me, Molecular Devices, Sunnyvale, CA,
9 USA). The physicochemical characteristics of DOX-LP are listed in Table 1.

10

11 **ICG and DOX release characteristics from liposomes**

12 ICG-LP with a diameter of 320 nm was prepared by the same method described
13 above. ICG-LP or DOX-LP was pre-dispensed into tubes (200 μ L per tube).
14 Individual tubes were incubated at 37°C in a water bath (SB-1000, Tokyo Rika Kikai
15 Co., Tokyo, Japan) for 0 h, 1 h, 6 h, 24 h and 48 h. After incubation, each sample was
16 filtered through PD-10 column (GE Healthcare, *Little Chalfont*, UK) to separate
17 released ICG (or DOX) and liposome. Each filtered sample was added with 10 μ L of
18 surfactant (Tween20, Wako, Osaka, Japan), incubated in a water bath at 65°C, and
19 sonicated for 1 min (3 times) (2510J-DTH, Yamato Scientific Co., Tokyo, Japan).
20 The absorbance of each tube was measured at 800 nm for ICG and at 480 nm for
21 DOX by spectrophotometry (SpectraMax2Me, Molecular Devices, USA). The
22 release characteristics of ICG-LP and DOX-LP are shown in Appendix Fig. A.1.
23 Both ICG-LP and DOX-LP had similar release characteristics.

24

1 **ICG distribution into the PALN detected by fluorescence imaging**

2 Fifteen mice were divided into five groups for evaluation of the ICG distribution:
3 ICG alone ($n = 3$); ICG-LP1 ($n = 3$); ICG-LP2 ($n = 3$); ICG-LP2+US ($n = 3$;
4 spatial-peak temporal-average intensity [I_{SPTA}] = 2.95 W/cm²); and ICG-LP2+US (n
5 = 3; I_{SPTA} = 0.48 W/cm²). The US conditions were: frequency, 1-MHz duty ratio;
6 20%; and exposure time, 60 sec (Kato, et al. 2015, Kato, et al. 2015, Kodama, et al.
7 2006). ICG-LP1 or ICG-LP2 was directly injected into the PALN, through a 27-G
8 butterfly needle connected to a syringe pump, at a rate of 50 μ L/min for 2 min. The
9 PALN was exposed to US 30 min after injection. The biodistribution of ICG-LP1 or
10 ICG-LP2 was detected with an *in vivo* bioluminescence imaging system (IVIS;
11 Xenogen, Alameda, CA, USA) at 0 min, 5 min, 30 min, 1 h, 3 h, 6 h, 24 h and 48 h
12 after injection. After the 48-h measurement, the mice were sacrificed, and the spleen,
13 kidneys, liver, lungs, heart, PALN and SiLN were removed for *ex vivo* fluorescence
14 measurement using the IVIS.

15

16 **Cell culture**

17 KM-Luc/GFP malignant fibrous histiocytoma-like cells, stably expressing a fusion of
18 the luciferase (Luc) and enhanced green fluorescent protein (EGFP) genes (Li, et al.
19 2013), were used. Cells were cultured in Dulbecco's Modified Eagle Medium
20 (Sigma-Aldrich, St. Louis, MO, USA) supplemented with 10% fetal bovine serum
21 containing 1% L-glutamine-penicillin-streptomycin and 1% geneticin (G418;
22 Sigma-Aldrich).

23

24 **Induction of metastasis in the PALN and lung**

1 The concentration of DOX encapsulated in the liposomes was 1.25 mg/kg. Mice
2 were anesthetized by inhalation of a mixture of 2% isoflurane and oxygen. A small
3 incision in the skin over the SiLN was made to expose the surface of the SiLN. The
4 area between the SiLN and PALN was clamped (DDP-09-151 clamp, Daddy D Pro,
5 Sialkot, Pakistan) to prevent flow from the SiLN to the PALN via lymphatic vessels
6 and the thoracoepigastric vein. Cells (1.0×10^6 cells/mL) passaged three times were
7 suspended in a mixture of 20 μ L phosphate-buffered saline (PBS) and 40 μ L of 400
8 mg/mL Matrigel (Collaborative Biomedical Products, Bedford, MA, USA) to obtain
9 a concentration of 3.3×10^5 cells/mL. Using a syringe and needle, cells were
10 manually injected into the middle of the SiLN through an incision. The needle was
11 kept in place for 5 min to prevent leakage of the cell solution from the SiLN. After
12 removing the needle and taking off the clamp, the surgical area was thoroughly
13 washed with 20 mL of saline (37°C) to remove any tumor cells that had leaked from
14 the SiLN. The skin wound was closed with 5-0 polyamide-interrupted sutures (Fig.
15 1B).

16

17 **Delivery of DOX-LP to the lung via the PALN, and activation of metastatic** 18 **tumor cells in the lung**

19 Sixteen mice were divided into four groups for evaluation of anti-tumor effects:
20 non-administration ($n = 4$), DOX alone ($n = 4$), DOX-LP alone ($n = 4$) and
21 DOX-LP+US ($n = 4$). At 6 h post-inoculation of tumor cells, mice were anesthetized
22 by inhalation of a mixture of 2% isoflurane and oxygen. DOX alone or DOX-LP was
23 injected into the PALN under US guidance (25-MHz transducer; VEVO770, Visual
24 Sonics, Toronto, Canada) at a rate of 50 μ L/min using a syringe pump. Then, the

1 PALN was exposed to 1-MHz US (diameter, 12 mm; Honda Electronics, Toyohashi,
2 Japan). Signals at 1.0 MHz were generated by a multifunction synthesizer
3 (WF1946A, NF, Yokohama, Japan) and amplified using a high-speed bipolar
4 amplifier (HSA4101, NF). The I_{SPTA} was set at 0.48 W/cm^2 , the duty cycle at 20%,
5 the number of pulses at 200 and the exposure time at 60 sec. It has been reported that
6 an intensity of 0.48 W/cm^2 does not induce severe adverse effects in LNs (Kato, et
7 al. 2015) (Fig. 1C). To active metastatic cells in the lung, the SiLN was resected with
8 a bipolar coagulator (Erbotom VI050C, Erbe Elektromedizin GmbH, Tübingen,
9 Germany) and surgical microscope (Leica M80, Leica Microsystems GmbH,
10 Wetzlar, Germany). After excision of the SiLN, the surgical area was thoroughly
11 washed with 20 mL of saline (37°C) to remove any tumor cells that had leaked from
12 the tumor-bearing SiLN. The skin wound was closed with 5-0 polyamide-interrupted
13 sutures. The entire resection procedure was accomplished within 10–15 min (Shao, et
14 al. 2015) (Fig. 1D).

15

16 **Evaluation of anti-tumor effects on lung metastasis**

17 Luciferase activity in the PALN and lung were measured after 6 h and on days 3, 6
18 and 9 (the day of inoculation being defined as day 0) using the IVIS. The luciferase
19 activity recorded in each group was normalized to that determined at 6 h in the
20 non-administration group.

21

22 **Histological analysis**

23 The lungs and PALN were harvested on day 9. All harvested samples were fixed in
24 10% formaldehyde in PBS for 4 days at 4°C (Rapid Fixative; Kojima Chemical

1 Industry, Inc., Saitama, Japan), dehydrated and then embedded in paraffin. The
2 embedded specimens were cut into 2–4 μm serial sections and either stained with
3 hematoxylin and eosin (HE) or immunostained for detection of CD31-positive cells
4 as previously described (9). The specimen boundary was determined under low
5 magnification using a microscope (BX51; Olympus Corp., Tokyo, Japan) connected
6 to a digital camera (DP72; Olympus Corp.) (Li, et al. 2013).

7

8 **Statistical analysis**

9 Data are expressed as the mean \pm standard deviation (SD), unless otherwise
10 indicated. Statistical comparisons were made using the Mann-Whitney U-test or a
11 Bonferroni post hoc test. Values of $P < 0.05$ were considered to be indicative of
12 statistical significance.

13

14 **Results**

15 The aim of the present study was to treat metastasis in the PALN and lungs by
16 injecting DOX-LP into the PALN and then exposing the PALN to US. First, we used
17 ICG-LP1 ($n = 3$) and ICG-LP2 ($n = 3$) to determine the effect of liposome size on
18 liposome retention in a LN (Fig. 2A). ICG solution ($n = 3$) was used as the control.
19 ICG solution, ICG-LP1 and ICG-LP2 were injected into the PALN at a rate of 50
20 $\mu\text{L}/\text{min}$ for 2 min. ICG solution spread into the abdominal and thoracic area from the
21 PALN about 30 min after injection, and a fraction of the ICG solution was shown to
22 accumulate in the liver. The fluorescence intensity in the PALN decreased to low
23 levels at 24 h and 48 h after the injection. ICG-LP1 also spread from the PALN 30
24 min after the injection, and the fluorescence intensity in the PALN fell to low levels

1 at 24 h and 48 h after injection. By contrast, ICG-LP2 was retained in the PALN for
2 1 h after the injection. Strong fluorescence intensity was detected in the PALN at 48
3 h, as compared with that observed for ICG solution and ICG-LP1. Since ICG-LP2
4 showed higher retention than ICG-LP1, we next determined whether US would
5 effectively release ICG from ICG-LP2 (Fig. 2B). The US intensity (I_{SPTA}) was set at
6 2.95 W/cm^2 or 0.48 W/cm^2 , and the PALN was exposed to US 30 min after the
7 injection of ICG-LP2 into the PALN. Figure 2C shows the fluorescence intensity of
8 ICG in the PALN over time, as illustrated in Fig. 2A and Fig. 2B. For ICG and
9 ICG-LP1, the fluorescence intensity reached a peak 1 h after injection and then
10 immediately decreased. ICG-LP2 reached a peak intensity 3 h after injection. The
11 intensity of ICG-LP2 decreased rapidly after exposure to either US intensity (2.95
12 W/cm^2 or 0.48 W/cm^2), and there was no significant difference between the two US
13 intensities in ICG retention at 48 h after injection.

14 Next, we investigated the biodistribution and retention of ICG by making *ex*
15 *vivo* fluorescence intensity measurements (Fig. 3). Accumulation of ICG in the liver
16 was greater for ICG, ICG-LP1 and ICG-LP2 than for ICG-LP2+US (0.48 W/cm^2 or
17 2.98 W/cm^2), while ICG retention in the PALN was better for ICG-LP2+US (0.48
18 W/cm^2 or 2.98 W/cm^2) than for ICG, ICG-LP1 and ICG-LP2. ICG retention in the
19 PALN was greater at an US intensity of 0.48 W/cm^2 than at 2.95 W/cm^2 .

20 Subsequent experiments investigating the treatment of activated lung
21 metastasis utilized an US intensity of 0.48 W/cm^2 , DOX-LP ($466.8 \text{ nm} \pm 44.3 \text{ nm}$)
22 with a similar size to ICG-LP2 ($462.3 \pm 87.6 \text{ nm}$) and 5 mg/kg DOX.

23 Metastases were induced in the PALN and lung by inoculation of tumor
24 cells into the SiLN. Tumor cells in the lung were activated by resection of the SiLN

1 at 6 h post-inoculation (Shao, et al. 2015). Figure 4A (non-administration: control)
2 shows metastases in the PALN and activated tumor cells in the lung on day 9 (after
3 resection of the tumor-bearing SiLN at 6 h). DOX or DOX-LP alone was injected
4 into the PALN at 6 h to treat tumor cells in the PALN and lung. Tumor cells in the
5 PALN were inhibited; however, tumor cells in the lung were not inhibited. In
6 contrast, DOX-LP+US inhibited tumor cells in both the PALN and lung.

7 Normalized luciferase activity in the PALN is shown in Fig. 4B. The
8 treatment of tumor cells in the PALN with DOX-LP and US inhibited luciferase
9 activity as compared with the non-administration, DOX alone or DOX-LP alone
10 groups ($P < 0.05$: non-administration vs DOX-LP+US on day 6, non-administration
11 vs DOX alone on day 6, non-administration vs DOX-LP+US on day 9).

12 Next, we examined the *ex vivo* luciferase activity of the lung at day 9 (Fig.
13 4C and Fig. 4D). Luciferase activity was detected in the non-administration, DOX
14 alone and DOX-LP alone groups but not in the DOX-LP+US group ($P < 0.05$:
15 non-administration vs DOX-LP alone, DOX alone vs DOX-LP alone; $P < 0.01$:
16 non-administration vs DOX-LP+US, DOX alone vs DOX-LP+US).

17 Figure 5 shows representative images of the PALN and lung stained with
18 HE and anti-CD31 antibody. Tumor cells were detected in the PALN of the
19 non-administration group (Fig. 5A and Fig. 5B), but there was less metastasis in the
20 DOX-LP+US group (0.48 W/cm^2) (Fig. 5C and Fig. 5D). In the lung, the
21 non-administration group showed extravasation of tumor cells from the pulmonary
22 arteries into the pulmonary parenchyma (Fig. 5E and Fig. 5F), while fewer tumor
23 foci were detected in the DOX-LP+US group (Fig. 5G and Fig. 5H).

24

1 **Discussion**

2 The risk of recurrence following surgical resection of a primary tumor has been
3 studied in clinical and animal experiments (Blezinger, et al. 1999, Dillekas, et al.
4 2016, Fisher, et al. 1989, Van Dierendonck, et al. 1991). White and colleagues
5 reported that lung metastasis was induced by LN dissection (White, et al. 2002). We
6 developed a mouse model of lung metastasis activation using MXH10/Mo/lpr mice,
7 in which tumor cells in the lung are activated by resection of a tumor-bearing LN
8 (Shao, et al. 2015). Although the mechanism of activation is still not well
9 understood, it has been suggested that the primary tumor produces inhibitors of
10 angiogenesis that circulate in the blood and suppress tumor growth in distant
11 metastases (Peeters, et al. 2006).

12 In the present study, we aimed to develop a superselective drug delivery
13 system using DOX-LP and US that could be used to treat lung metastases in a mouse
14 model of lung metastasis activation. In mice, the efferent lymphatic vessel from the
15 PALN is connected to the subclavian vein. Intranodal venules in the PALN are
16 connected to the thoracoepigastric vein, which in turn is connected to the subclavian
17 vein (Shao, et al. 2015, Takeda, et al. 2017). We have demonstrated that DOX-LP has
18 a longer retention time in the LN than DOX alone due to the size of the particle
19 (Moghimi and Moghimi 2008) and that US has the potential to permeabilize the
20 lymphatic sinus and intranodal venules, resulting in the delivery of DOX into cells in
21 the LN (Kato, et al. 2015, Kato, et al. 2015, Sato, et al. 2014, Sato, et al. 2015). Thus,
22 DOX would be delivered from the axillary LNs to the metastatic lung via the
23 subclavian vein, heart and pulmonary artery, resulting in a high anti-tumor effect in
24 the lung (Fig. 4C).

1 In the present study, an US intensity (I_{SPTA}) of 0.48 W/cm² increased ICG
2 retention in the PALN to a greater extent than an I_{SPTA} of 2.95 W/cm² (Fig. 2). This
3 finding suggests that mild collapse of ICG-encapsulated liposomes may enhance the
4 retention of ICG in the PALN. Since thermal effects on the release and retention of
5 ICG in the PALN may be ignored (Draper and Ricard 1995), it is hypothesized that
6 non-thermal mechanisms such as the formation of cavitation bubbles are involved
7 (Miller, et al. 2012).

8 In our previous study (Kato, et al. 2015), DOX (at a concentration of 5
9 mg/kg body weight) was injected into the SiLN or tail vein to deliver it to the
10 tumor-bearing PALN. Histological analysis revealed that the blood vessel lumens
11 were smaller in the DOX alone (SiLN) group than in the PBS and DOX alone (tail
12 vein) groups. The volume of the PALN decreased over time in the DOX alone
13 (SiLN) and DOX alone (tail vein) groups compared with the PBS injection group,
14 and body weight was significantly lower in the DOX alone (tail vein) group than in
15 the PBS group. In our study, the concentration of DOX encapsulated in liposomes
16 and injected into the SiLN was 1.25 mg/kg; comparable morphological, volume and
17 body weight changes to those in our previous study were likely to have occurred.

18 Various liposome-modified drugs have been developed for the treatment of
19 lung metastasis including lung-targeted docetaxel liposomes (Wang, et al. 2017) and
20 paclitaxel-loaded pH-sensitive liposomes (Zhang, et al. 2016). The combination of
21 our superselective drug delivery system with novel liposomes and US may overcome
22 some of the limitations of current drug delivery methods (such as a rapid drug
23 absorption by the reticulo-endothelial system in the liver and spleen, short
24 circulation time and insufficient dosages at target sites) as well as reduce adverse

1 effects and increase the therapeutic index. The anti-tumor effects may be further
2 improved by the use of a combination of nano/microbubbles and US, which further
3 increases the permeability of the lymphatic sinus and intranodal veins (Kato, et al.
4 2015, Kato, et al. 2015, Sato, et al. 2014, Sato, et al. 2015).

5 The superselective drug delivery system described in this study could be
6 used for drug screening to develop novel drugs for the treatment of lung cancer
7 (Polley, et al. 2016, Wang, et al. 2017) and to investigate the mechanisms of tumor
8 cell activation after resection of a primary tumor or LNs (Manjili 2017). The novel
9 methodology and findings should prove highly useful for the future development of
10 new chemotherapy techniques to treat lung metastasis, thereby improving the
11 management of this serious cancer in patients.

12

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16

1 **Figure legends**

2

3 **Figure 1. Experimental procedure.**

4 (A) Lymphatic and vascular system in MXH10/Mo/lpr mice (Takeda, et al. 2017).

5 The efferent lymphatic vessel of the PALN is connected to the subclavian vein

6 (Shao, et al. 2013). The thoracoepigastric vein runs adjacent to the SiLN and PALN

7 and connects to the inferior vena cava and subclavian vein. PALN: proper axillary

8 lymph node, SiLN: subiliac lymph node.

9 (B) Induction of metastasis in the PALN. The area between the SiLN and PALN was

10 clamped to prevent flow from the SiLN to the PALN via lymphatic vessels and the

11 thoracoepigastric vein. Cells were manually injected into the middle of the SiLN

12 through an incision.

13 (C) Injection of DOX or DOX-LP into the SiLN. At 6 h post-inoculation of tumor

14 cells, DOX alone or DOX-LP was injected into the PALN under US guidance at a

15 rate of 50 μ L/min using a syringe pump.

16 (D) Delivery of DOX to the lung and activation of metastatic tumor cells in the lung.

17 The PALN was exposed to 1-MHz US. A bipolar coagulator and surgical microscope

18 were used to facilitate the SiLN resection process. After excision of the SiLN, the

19 surgical area was thoroughly washed with saline to remove any tumor cells that had

20 leaked from the tumor-bearing SiLN. The skin wound was closed with sutures.

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1

2 **Figure 2. *In vivo* fluorescence intensity of the PALN**

3 (A) *In vivo* monitoring of ICG, ICG-LP1 and ICG-LP2 fluorescence at various time
4 points. ICG solution ($n = 3$), ICG-LP1 ($n = 3$) or ICG-LP2 ($n = 3$) were injected into
5 the PALN at a rate of 50 $\mu\text{L}/\text{min}$ for 2 min. ICG solution had spread from the PALN
6 to the liver at 30 min after injection, and fluorescence in the PALN was not detected
7 at 48 h after injection. ICG-LP1 had spread from the PALN to the liver at 30 min
8 after injection, and fluorescence in the PALN was decreased at 48 h after injection.
9 ICG-LP2 also spread from the PALN, but signals in the liver were not detected until
10 after 1 h. Strong fluorescence intensity was detected in the PALN at 48 h after
11 injection, as compared with ICG-LP1.

12 (B) *In vivo* monitoring of ICG-LP2 fluorescence after exposure to US. ICG-LP2
13 solution was injected into the PALN at a rate of 50 $\mu\text{L}/\text{min}$ for 2 min. The PALN was
14 exposed to US (2.95 W/cm^2 , $n = 3$ or 0.48 W/cm^2 , $n = 3$) 30 min after the injection.

15 (C) Fluorescence intensity of ICG, ICG-LP1 or ICG-LP2, with and without exposure
16 to US, in the PALN (as shown in A and B). ICG and ICG-LP1 reached peak
17 fluorescence intensity at 1 h after injection, while ICG-LP2 fluorescence peaked at 3
18 h after injection (i.e., ICG-LP2 was better retained in the PALN than ICG or
19 ICG-LP1). The fluorescence intensity of ICG-LP2 after exposure to US increased
20 rapidly at 30 min, peaked at 1 h and then rapidly decreased. ICG ($n = 3$), ICG-LP1 (n
21 $= 3$), ICG-LP2 ($n = 3$), ICG-LP2 + 0.48 W/cm^2 ($n = 3$), ICG-LP2 + 2.95 W/cm^2 ($n =$
22 3).

23

24 **Figure 3. *Ex vivo* fluorescence intensity in various organs**

1 Mice were treated under the conditions shown in Fig. 2. Mice were sacrificed at 48 h,
2 and various organs (liver, lungs, heart, PALN) were removed. The ICG fluorescence
3 intensity in the PALN was higher for ICG-LP2 exposed to US at 0.48 W/cm² than
4 for ICG-LP2 exposed to US at 2.95 W/cm². This indicates that US at 0.48 W/cm²
5 released more ICG from ICG-LP2 than US at 2.95 W/cm². ICG (*n* = 3), ICG-LP1 (*n*
6 = 3), ICG-LP2 (*n* = 3), ICG-LP2 + 0.48 W/cm² (*n* = 3), ICG-LP2 + 2.95 W/cm² (*n* =
7 3). **P* < 0.05, ***P* < 0.01, post-hoc Tukey-Kramer test.

8

9 **Figure 4. Antitumor effect of DOX-LP and US in the PALN and lung**

10 Tumor cells were inoculated into the SiLN. DOX-LP (466.8 ± 44.3 nm) was injected
11 into the PALN at 6 h post-inoculation, and the PALN was then exposed to US (0.48
12 W/cm²). The SiLN was resected to activate lung metastasis.

13 (A) Bioluminescence imaging on day 9 post-inoculation. For the non-administration
14 (control) group, luciferase activity was detected in the PALN and lung. In both the
15 DOX alone and DOX-LP alone groups, luciferase activity was detected in the lung.
16 For the DOX-LP+US group, luciferase activity was not detected in either the PALN
17 or lung. Non-administration (*n* = 4), DOX alone (*n* = 4), DOX-LP alone (*n* = 4),
18 DOX-LP+US (0.48 W/cm²) (*n* = 4).

19 (B) Changes in luciferase activity in the PALN with time, as shown in (A). The
20 values in each group were normalized to that at 6 h post-inoculation. The luciferase
21 activity increased with time in the non-administration (control) and DOX alone
22 groups. The luciferase activity increased to a smaller extent in the DOX-LP group
23 and remained at background levels in the DOX-LP+US group. **P* < 0.05
24 (Mann-Whitney U test): non-administration vs DOX-LP+US on day 6;

1 non-administration vs DOX alone on day 6; non-administration vs DOX-LP+US on
2 day 9. Non-administration ($n = 4$), DOX alone ($n = 4$), DOX-LP alone ($n = 4$),
3 DOX-LP+US (0.48 W/cm^2) ($n = 4$).

4 (C) *Ex vivo* bioluminescence imaging of the lungs on day 9 post-inoculation,
5 following the experiments shown in (A). Bioluminescence signals were detected in
6 the entire lungs in the non-administration and DOX alone groups. Reduced
7 bioluminescence signals were detected in the lungs in the DOX-LP alone group.
8 Bioluminescence signals were not detected in the lungs in the DOX-LP+US (0.48
9 W/cm^2) group. Non-administration ($n = 4$), DOX alone ($n = 4$), DOX-LP alone ($n =$
10 4), DOX-LP+US (0.48 W/cm^2) ($n = 4$).

11 (D) *Ex vivo* luciferase activity of lungs removed on day 9 post-inoculation, as shown
12 in (C). The values were normalized to the mean value of the non-administration
13 group. Normalized luciferase activity in the DOX alone group was similar to that of
14 the non-administration (control) group, but luciferase activity in the DOX-LP alone
15 group was reduced by about 50%. Fluorescence activity in the DOX-LP+US group
16 was decreased to the background level. Non-administration ($n = 4$), DOX alone ($n =$
17 4), DOX-LP alone ($n = 4$), DOX-LP+US (0.48 W/cm^2) ($n = 4$). $*P < 0.05$
18 (Steel-Dwass test): non-administration vs DOX-LP alone, DOX alone vs DOX-LP
19 alone; $**P < 0.01$ (Steel-Dwass test): non-administration vs DOX-LP+US, DOX
20 alone vs DOX-LP+US.

21

22 **Figure 5. Histological analysis of the PALN and lung**

23 A–D: PALN. A, C: HE staining; B, D: anti-CD31 staining. Metastatic foci were
24 detected in the entire PALN in the non-administration (control) group (A and B),

1 while metastatic foci were not detected in the PALN in the DOX-LP+US group (C
2 and D). meta: metastasis; arrowheads: endothelium. Scale bar: 50 μ m.

3 E–H: Lung. E, G: HE staining; F, H: anti-CD31 staining. Metastatic foci were
4 detected within and outside intranodal venules in the non-administration (control)
5 group (E and F). There were fewer metastatic foci in the DOX-LP+US group than in
6 controls. meta: metastasis; arrowheads: endothelium. Scale bar: 200 μ m.

7

8

1 **Appendices**

2 **Figure A.1. Release of ICG and DOX from liposomes**

3 (A) Release of ICG from ICG-LP at 37°C. ICG-LP (320 nm in diameter). Release of
4 ICG from both ICG-LP was detected at 6 h after inoculation. The release ratio was
5 40%. The absorbance was measured at 800 nm. Samples ($n = 1$) at each time. (B)
6 Release of DOX from DOX-LP at 37°C. A sudden release of DOX was detected at 6
7 h, yet 80% of DOX-LP remained stable (0 h versus 6 h, 0 h versus 24 h, 0 h versus
8 48 h, $**P < 0.01$, post-hoc Bonferroni test). The absorbance was measured at 480
9 nm. Samples ($n = 3$) at each time point. Both (A) and (B) indicate that ICG-LP and
10 DOX-LP have similar release characteristics.

11

Table 1. Characteristics of ICG- and DOX-encapsulated liposomes

	ICG-LP1 (<i>n</i> = 2)	ICG-LP2 (<i>n</i> = 3)	DOX-LP (<i>n</i> = 4)
Lipid composition	DSPC:DSPE-PEG = 94:6	DSPC:DSPE-PEG = 94:6	DSPC:DSPE-PEG = 94:6
Encapsulated drug	ICG	ICG	DOX
Diameter (nm)	134.7 ± 9.39	462.3 ± 87.6	466.8 ± 44.3
Zeta potential (mV)	-1.08 ± 0.36	-1.25 ± 0.50	-0.83 ± 0.61
Encapsulation efficiency (%)	-	-	69.3 ± 13.1

Data are shown as the mean ± standard deviation. DOX: doxorubicin; DSPC: 1,2-distearoyl-sn-glycero-3-phosphatidylcholine; DSPE-PEG: 1,2-distearoyl-sn-glycerol-3-phosphatidylethanolamine-methoxy-polyethylenglycol; ICG: indocyanine green; LP: liposomes.

Fig. 1

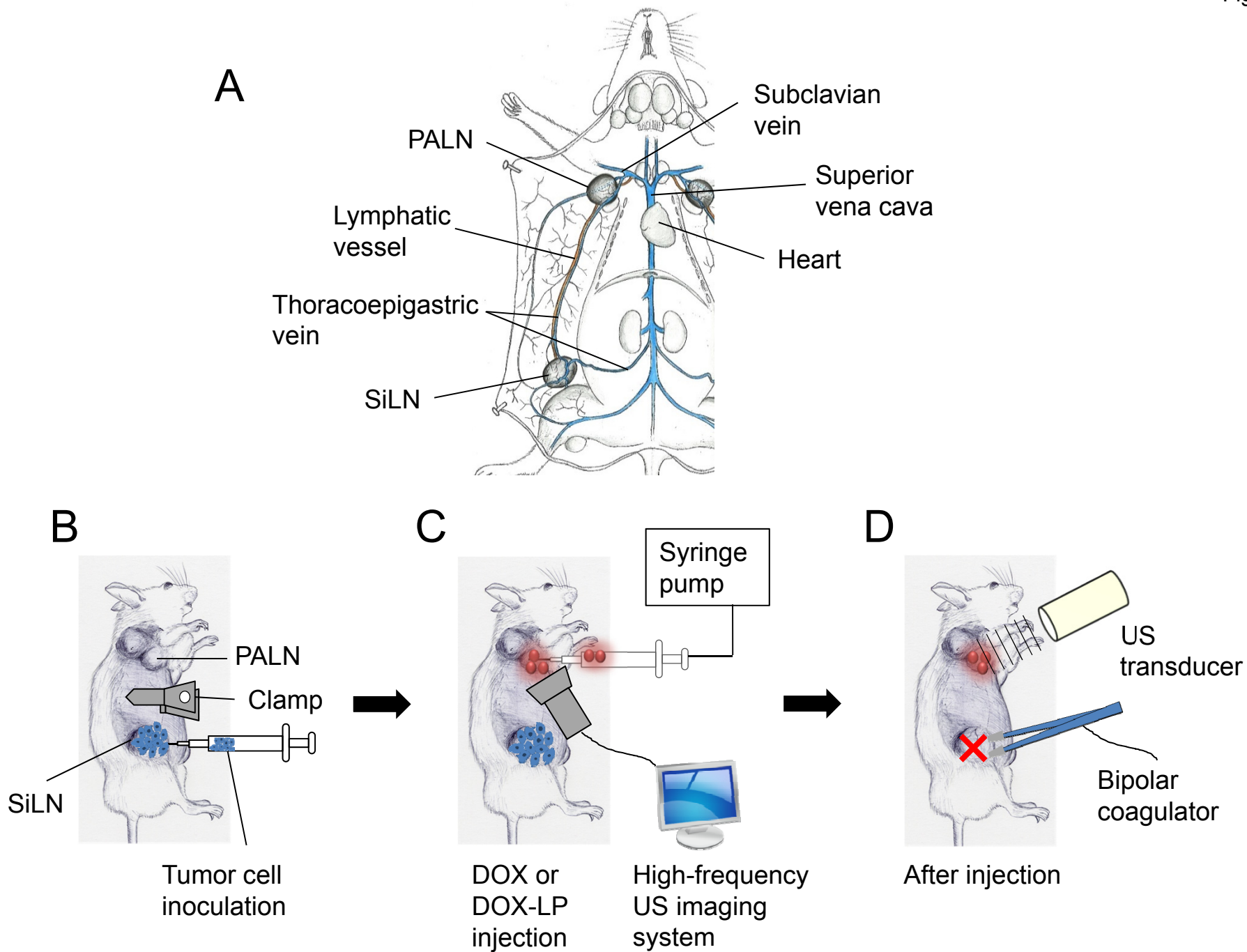


Fig. 2

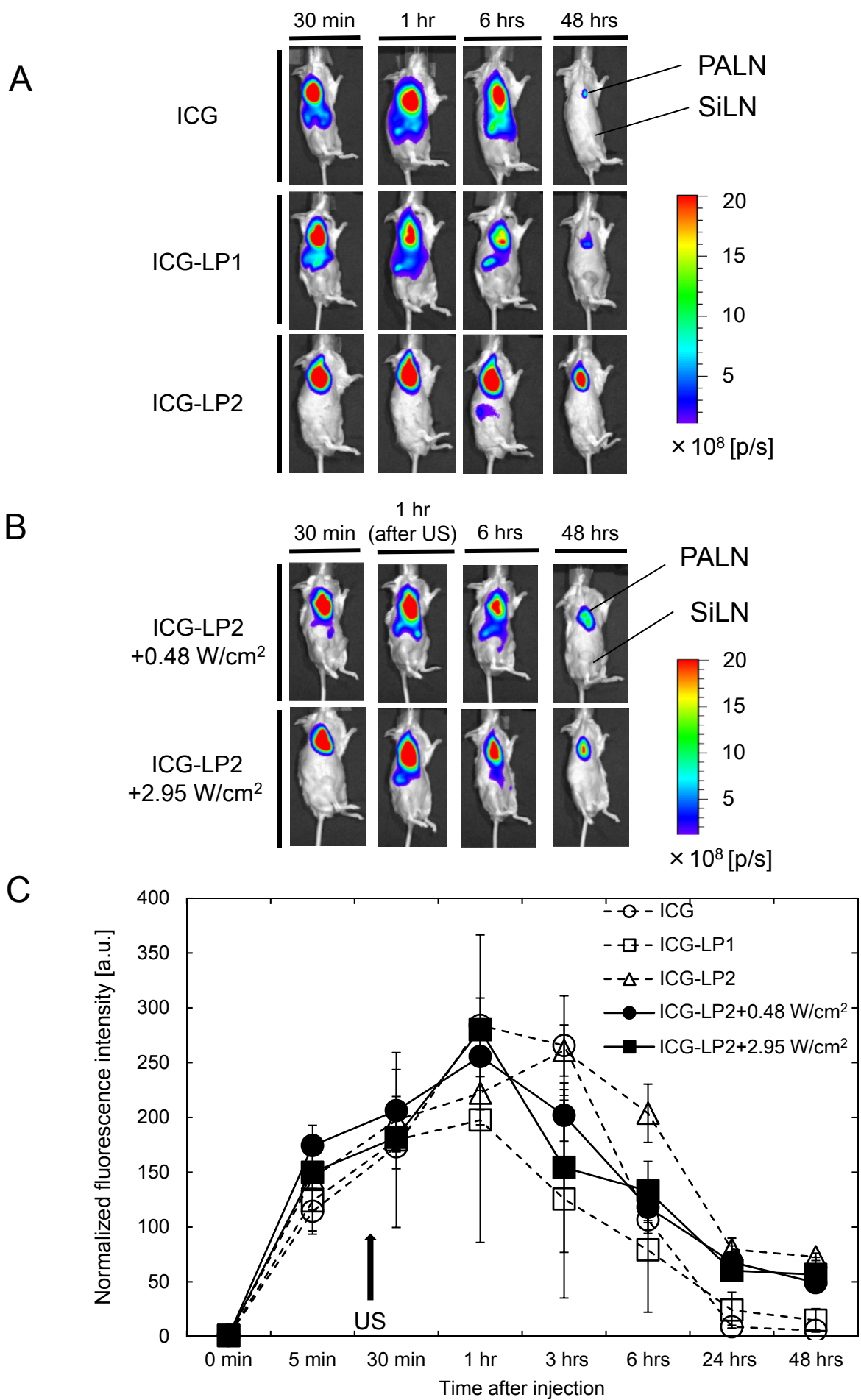


Fig. 3

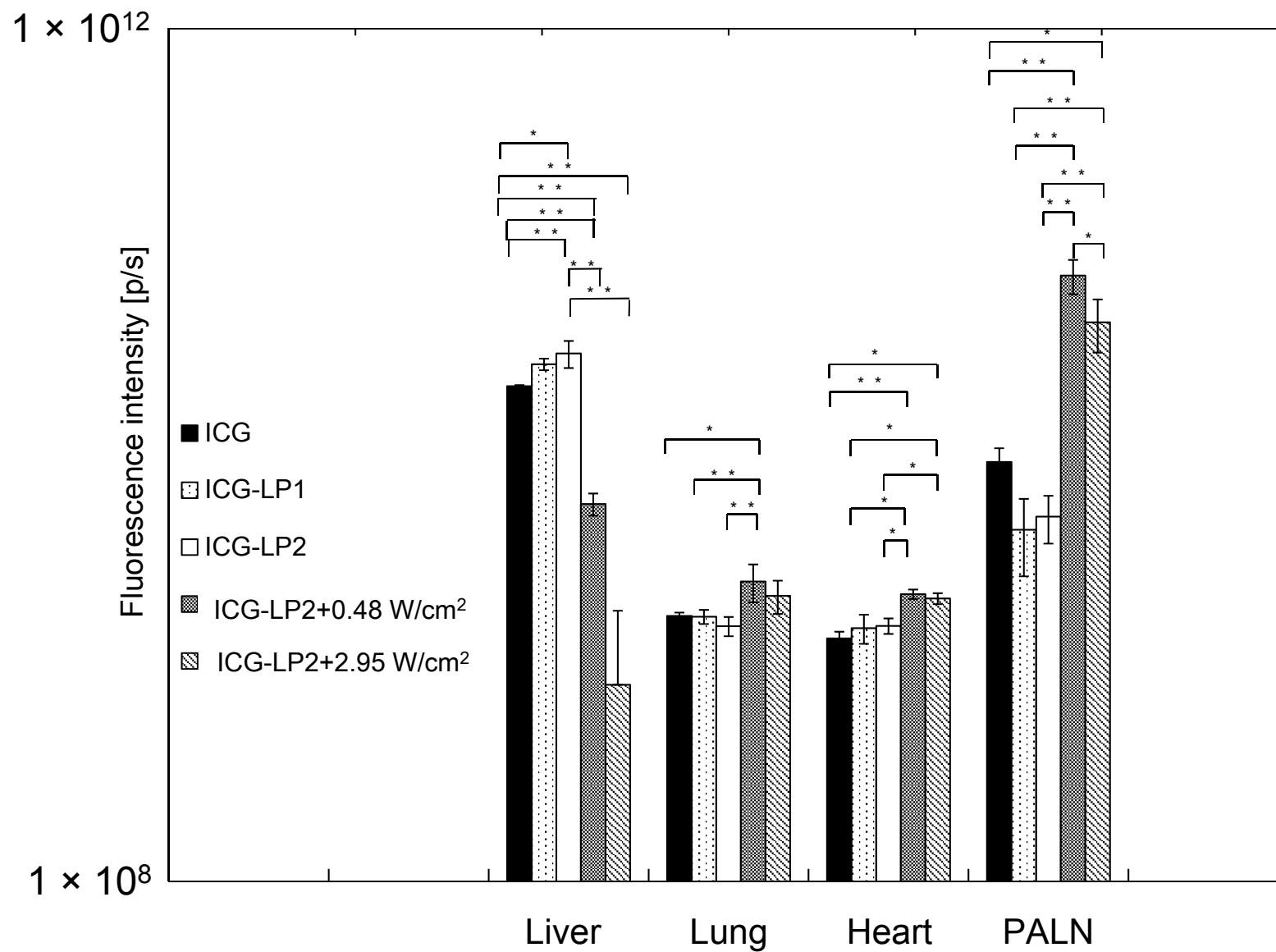


Fig. 4

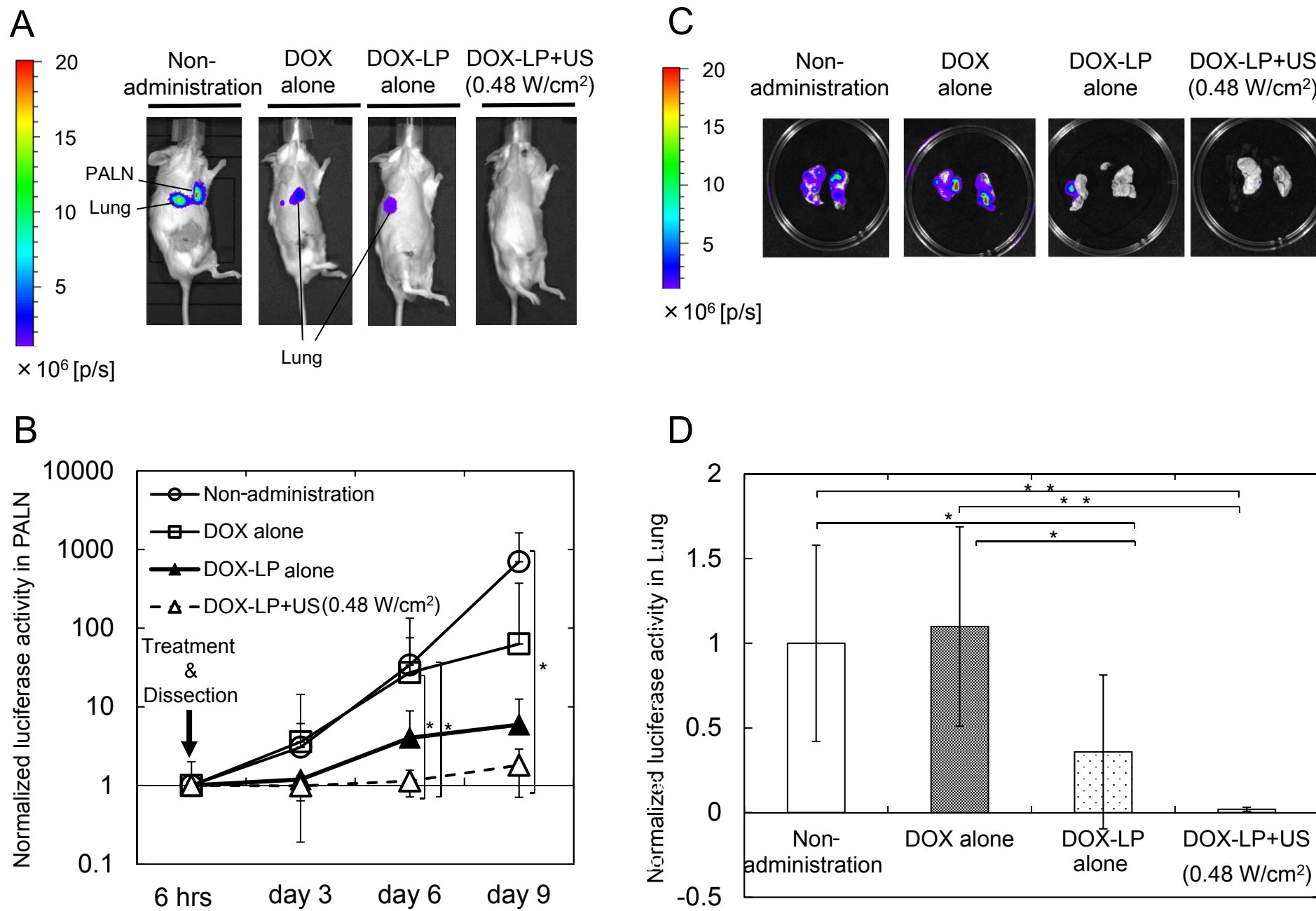


Fig. 5

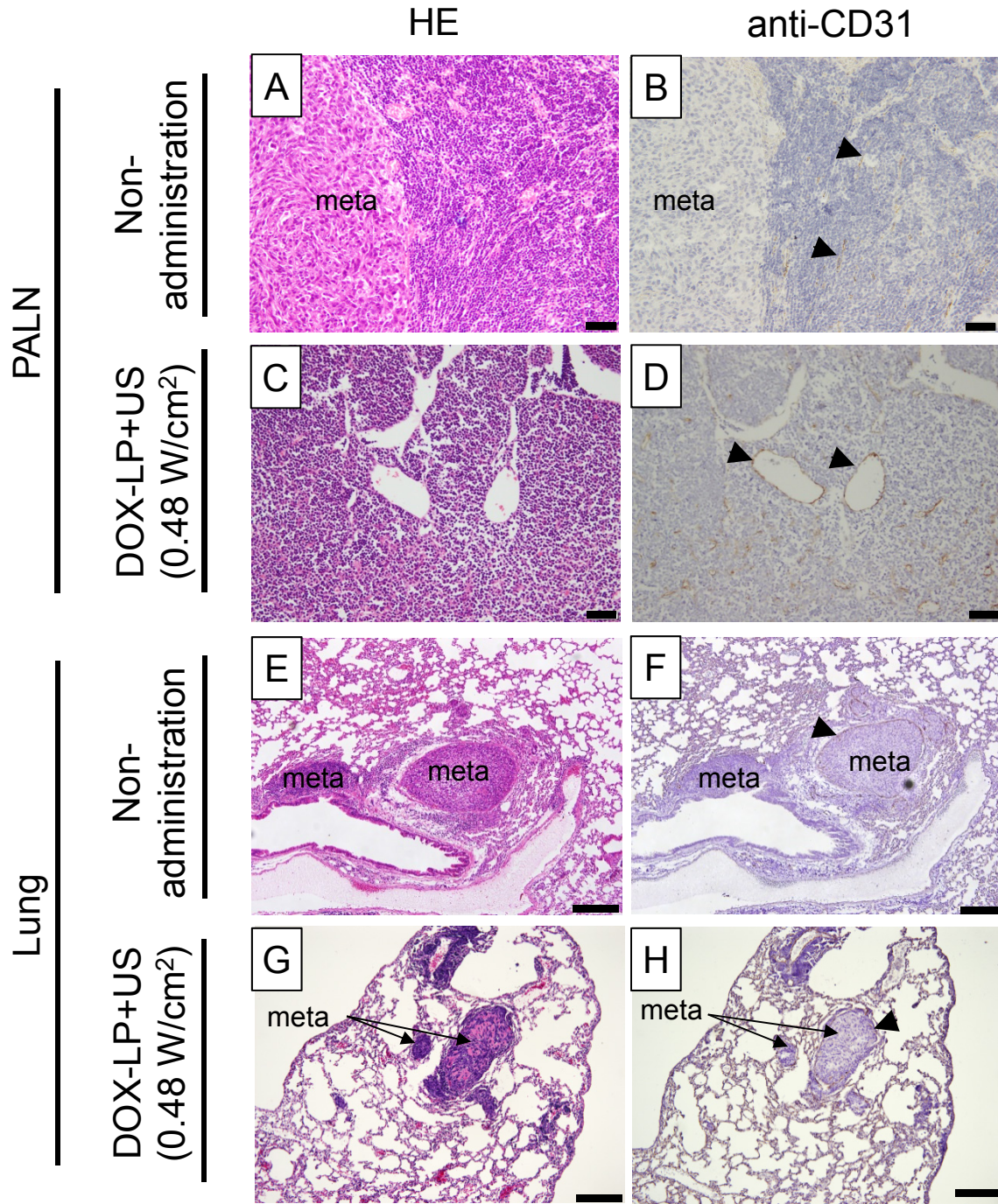
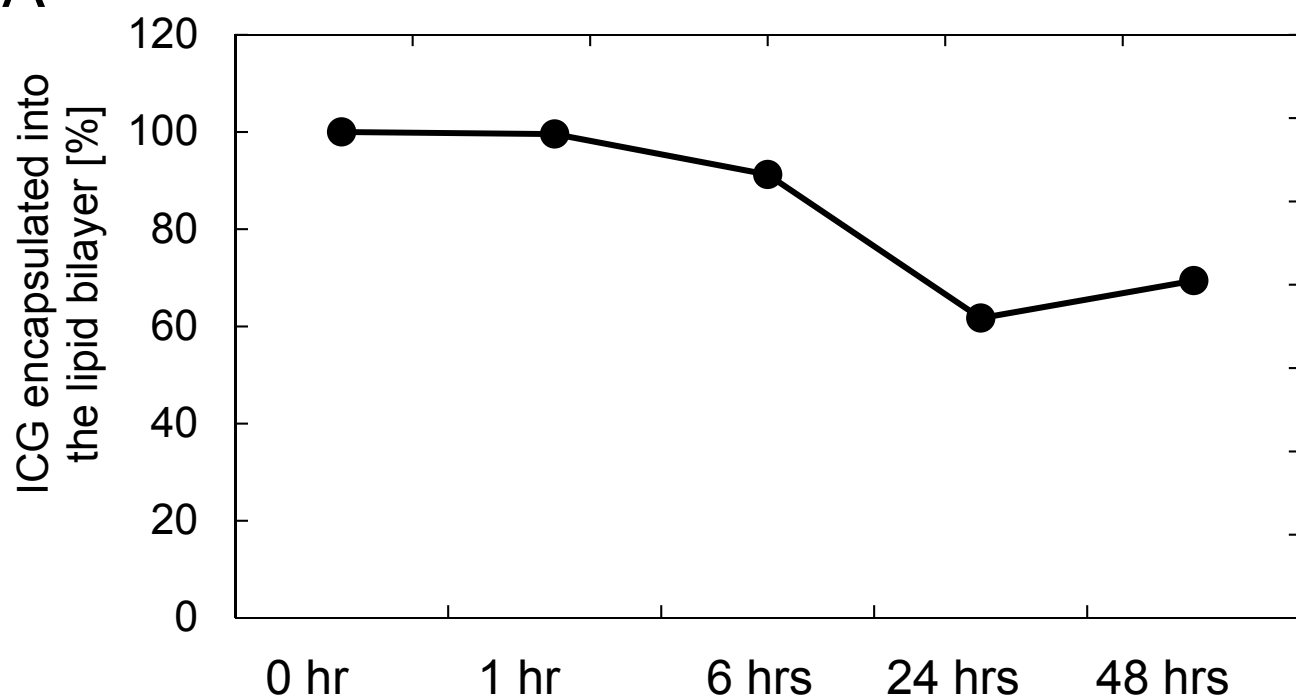


Fig. A.1

A



B

