Characteristics of Biomedical Beta-Type Titanium Alloy Subjected to Coating

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Beta-type titanium alloys used in biomedical applications have been developed all over the world. In particular, Ti-29Nb-13Ta-4.6Zr alloy (TNTZ) is one of beta-type titanium alloys for biomedical applications that has been developed by the authors in Japan. Although TNTZ is composed of non-toxic elements such as niobium, tantalum, and zirconium, it still lacks bioactivity, which is the ability to form chemical bonds with living bones. The stems that are parts of artificial hip joints, dental implants, etc., which are made of metallic materials, etc. are required to bond strongly with living bones. However, these stems, dental implants etc., cannot form chemical bond with living bones by themselves. The bioactive surface modification of metallic materials by the application of ceramics is effective in improving the biocompatibility of TNTZ. Calcium phosphate ceramics such as hydroxyapatite ($Ca_{10}(PO_4)_6OH_2$; HAP) and β -tricalcium phosphate (β -Ca₃(PO₄)₂; β -TCP) possess bioactivity. In this study, the characteristics and morphology of TNTZ coated with a calcium phosphate invert-glass-ceramic (CPIG) layer by dip-coating treatment or with a sodium titanate layer by alkali solution treatment are investigated before and after soaking it in a simulated body fluid (SBF).

The bonding strength between a CPIG layer with a thickness of around 5 μ m and a specimen surface of TNTZ is around 25 MPa. No cracks or exfoliations are observed along the boundary between the CPIG layer and the specimen surface. This is the reason why the difference in the thermal expansion coefficients between CPIG layer and TNTZ reduced due to a compositional gradient zone with a thickness of around 3 μ m in CPIG layer. HAP is formed on the entire surface of the TNTZ specimen after soaking it in the SBF for more than 1728 ks. The fatigue properties of TNTZ coated with a CPIG layer are similar to those of as-solutionized TNTZ. A reticulate structure with a thickness of 400 to 800 nm is formed on the TNTZ specimen surface after soaking it in 3 to 10 kmol/m³ NaOH solution for 86.4 ks and 172.8 ks. HAP is completely formed on the entire surface of the TNTZ specimen when it is soaked in the SBF for 1209.6 ks after being soaked in 5 kmol/m³ NaOH solution for 172.8 ks. [doi:10.2320/matertrans.MRA2007207]

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1. Introduction

Metallic biomaterials are popularly used as orthopedic implants even in highly loaded parts such as the stems of artificial hip joints. Hence, they are required to possess special mechanical, physical, chemical or biological properties such as high strength, high corrosion resistance, bioactivity, or a Young's modulus close to that of a human bone. For many years, the most common metallic materials employed for medical applications have been 316L stainless steel, Co-Cr based alloys, commercially pure (CP) titanium, and Ti-6Al-4V extra low interstitial (ELI) alloy.

Recently, some new titanium alloys comprising beta (β) stabilizers such as niobium (Nb) and tantalum (Ta), and a neutral alloying element such as zirconium (Zr) and interstitial oxygen (O) have been developed with the objective obtaining improved mechanical properties and biocompatibility as compared to those of existing alloys. Since CP titanium has poor mechanical properties such as low shear strength, it is not suitable for use in dental and orthopedic implants. Moreover, Ti-6Al-4V ELI alloy is not feasible for use in such implants because of the cytotoxicity of vanadium (V). Most of the recently developed alloys are β -type titanium alloys with a considerably lower Young's modulus as compared to that of α - or $\alpha + \beta$ -type titanium alloys. Another advantage of these alloys is that it is relatively easy to control their mechanical properties through thermomechanical treatment.

The biocompatible Ti-29Nb-13Ta-4.6Zr alloy (TNTZ) developed by the authors has high potential to be used in biomedical applications because of its good mechanical properties such as high specific strength and elongation.^{1–5)} As-solutionized TNTZ has a small Young's modulus of around 60 GPa, a very low cytotoxicity similar to that of CP titanium, and good biocompatibility with living tissues.⁶⁾ However, its biocompatibility is inadequate and it lacks bioactivity, which is the ability to form chemical bonds directly with living bones. The stems of artificial joints, dental implants, etc. that are made of metallic materials are required to bond strongly with living bones. However, they cannot form chemical bonds with living bone by themselves.

Bioactive ceramic surface modification is effective in improving the biocompatibility of TNTZ. Calcium phosphate ceramics such as hydroxyapatite (Ca₁₀(PO₄)₆OH₂; HAP) and β -tricalcium phosphate (β -Ca₃(PO₄)₂; β -TCP) possess bioactivity.^{7,8}) Bioactivity is required in some parts of artificial substitutes for hard tissues. Many methods for coating HAP and TCP on titanium or titanium alloys have been reported so far.^{9–16}) Among these methods, calcium phosphate invertglass-ceramic (CPIG) coating treatment and alkali solution treatment are simple and highly suitable for TNTZ.^{17,18}) In this study, the morphology of CPIG-coated and alkalisolution-treated surfaces of TNTZ is investigated before and after soaking it in a simulated body fluid (SBF). The change in the mechanical properties of TNTZ after the CPIG-coating treatment, which includes heating, is also investigated.

2. Experimental Procedures

2.1 Material

The materials used in this study were hot forged bars of TNTZ with a diameter of 11 mm. The TNTZ bars were solution-treated in vacuum at 1063 K for 3.6 ks, that is, above the β transus temperature of TNTZ (around 1013 K). Assolutionized TNTZ is referred to as TNTZ_{ST}.

2.2 CPIG-coating treatment

Some of the specimens of TNTZ_{ST} were dipped into a slurry of calcium phosphate glass powder ($60CaO-30P_2O_5$ - $7Na_2O-3TiO_2$) and methanol, and then pulled out at a speed of 1.4 mm/s. The dipped specimens were dried in air at room temperature for 1.8 ks. The thickness of the CPIG layer was controlled to be around 5 µm by controlling the concentration of the slurry. After the CPIG-coating treatment, the coated specimens were heated at 1073 K for 0.9 ks, which led to the formation of calcium phosphate ceramics (including TCP); this was followed by furnace cooling to room temperature in order to enhance the bonding between the CPIG layer and the TNTZ substrate.¹⁷⁾ The CPIG-coated specimen is referred to as TNTZ_{DT}.

2.3 Alkali treatment

 $TNTZ_{ST}$ with a diameter of 5 mm was polished with a wet emery paper with a grid of # 600 in order to enhance the bonding with HAP through the anchor effect after immersion in the SBF, and then washed with pure acetone and distilled water in an ultrasonic cleaner. $TNTZ_{ST}$ was subjected to alkali treatment by soaking in NaOH aqueous solutions with concentrations of 3, 5, and 10 kmol/m³ at 333 K for 86.4 and 172.8 ks. After the alkali treatment, the specimens were washed with distilled water, and dried at 313 K for 86.4 ks. The alkali-treated specimens are referred to as $TNTZ_{AT}$.

2.4 Soaking in SBF

In order to investigate HAP formation on the TNTZ_{DT} and TNTZ_{AT} surfaces, these surfaces were soaked in the SBF (Na⁺: 142, K⁺: 5, Mg⁺: 1.5 Ca⁺: 2.5, Cl⁻: 103, HCO₃⁻: 27, HPO₄²⁻: 1 SO₄²⁻: 0.5 mol/m³)¹³) at 310 K for 604.8 ks to 1814.4 ks; the pH and ion concentrations of the SBF were similar to those of human blood plasma.

2.5 Evaluation of microstructure

Microstructural evaluations were carried out by using a scanning electron microscope (SEM) equipped with an energy dispersive X-ray (EDX) analyzer, an Auger electron spectrometer (AES), and an X-ray diffractometer (XRD).

2.6 Mechanical tests before and after CPIG-coating treatment

Specimens with a diameter of 10 mm and a thickness of 2.0 mm were machined from $TNTZ_{DT}$ for Vickers hardness tests. The surfaces of these specimens were polished using a wet emery paper with a grid of # 600 and washed with pure acetone. Subsequently, buff polishing was performed on these specimens. The hardness of the buff polished specimen was measured using a Vickers hardness tester with a load of 0.49 N and a holding time of 15 s.

Columnar specimens of TNTZ_{DT} with a diameter of 10 mm and a height of 20 mm were used to evaluate the tensile bonding strength of the coating layers. A bonding agent used in dental applications (orthomite super bond) was applied to the surface of the coating layer of each specimen. The surface with the bonding agent was then bonded with a columnar stainless steel fixture, whose size was identical to that of the columnar specimens. Subsequently, the bonded specimens were dried in air at room temperature for 86.4 ks and subjected to the tensile bonding test. The tests were conducted at a crosshead speed of 1.67×10^{-5} m/s in air at room temperature using an Instron-type tensile testing machine. In order to enhance the adhesion between the TNTZ substrate and the coating layer before the CPIG-coating treatment, the specimen surfaces were subjected to carbon blasting at a pressure of 0.5 MPa, which resulted in an average surface roughness of around 1.29 µm.

For the tensile and fatigue tests, dog-bone-type tensile specimens with a diameter of 10 mm and a gage length of 20 mm were machined from TNTZ_{ST}. These specimens were then subjected to carbon blasting after polishing with a wet emery paper with a grid of # 600; subsequently, they were washed with pure acetone before the CPIG-coating treatment. For the fatigue test, the specimens were also buff polished in the solutionized condition to obtain a mirror surface with an average roughness of around 0.01 µm after polishing with a wet emery paper with a grid of # 1500 as comparison. The tensile test for each tensile specimen was conducted using an Instron-type machine at a crosshead speed of 8.33×10^{-6} m/s in air at 295 K. The load was detected by the load cell of the machine. The strain was detected by using a strain gage attached to the gage part of the specimen.

The fatigue tests were conducted on the fatigue test specimens by using an electro servo-hydraulic-machine. The fatigue tests were conducted at a frequency of 10 Hz and a stress ratio R of 0.1 in air at 295 K. The maximum cyclic stress, at which the specimen did not fail for 10^7 cycles, was defined as the fatigue limit in this study.

3. Results and Discussion

3.1 Morphology of $TNTZ_{DT}$ before and after soaking it in SBF

Figure 1 shows the SEM micrographs of the specimen surface and cross sections of TNTZ_{DT} . The CPIG layer exhibits a microstructure comprising a large number of pores; these pores are a few micrometers in diameter and around 5 µm in thickness. No cracks are observed at the boundary between the CPIG layer and the TNTZ substrate, and no exfoliations of the CPIG layer are observed, although the thermal expansion coefficients of CP titanium and CPIG range from 8×10^{-6} to $10 \times 10^{-6} \text{ deg}^{-1}$ and 16×10^{-6} to $18 \times 10^{-6} \text{ deg}^{-1}$, respectively.¹⁸⁾ A large number of cracks and exfoliations were observed in the CPIG layer with a thickness of 15 µm of TNTZ after heating.¹⁹⁾ The optimum thickness of the CPIG layer is considered to be around 5 µm.

The matrix of TNTZ_{DT} comprises β phase grains with a diameter of around 20 µm. Precipitatd alpha (α) and omega (ω) phases, which were expected to exist in the matrix, were



(a) Surface of TNTZ_{DT}



(b) Cross section of TNTZ_{DT}

Fig. 1 SEM micrographs of morphologies of (a) Ti-29Nb-13Ta-4.6Zr (TNTZ) coated with calcium phosphate invert-glass (CPIG) layer (TNTZ_{DT}) and (b) its cross section of near CPIG layer.

not observed in the SEM micrographs, probably due to their super fine precipitation.

Figure 2 shows an SEM micrograph of the TNTZ_{DT} cross section and results of the line analysis of elements along the indicated line obtained by using the EDX analyzer. The concentration of each element exhibits a gradient within the layer near the TNTZ substrate. Thus, a compositional gradient zone that is around $3 \mu m$ in thickness is formed. The titanium concentration in the zone increases with a decrease in the distance from the CPIG surface toward the substrate. On the other hand, the calcium and phosphorus concentrations in the zone increase with an increase in the distance from the TNTZ substrate.

Figure 3 shows the XRD profiles of TNTZ_{DT} measured at an each different depth from the specimen surface to 1000 µm from the interface between CPIG and TNTZ substrate. The XRD profile of the TNTZ_{DT} surface shows the peaks of the β -TCP, β -CPP (β -calcium pyrophosphate; β -Ca₂P₂O₇), β phase, α phase, and TiO₂ (rutile). The XRD profiles indicate that the coating layers comprise a large amount of β -TCP and a small amount of β -CPP. The peak of TiO₂ is clearly observed from the surface of the CPIG to a depth of 20 µm. This is because TNTZ is heated in air during the CPIGcoating treatment in this study. The peak of TiO₂ was not observed on the surface of TNTZ_{ST}.²⁰ The peak of the α



Fig. 2 SEM micrograph of cross section of TNTZ_{DT}, and Ti, P and Ca concentration profiles along detected line.



Fig. 3 X-ray diffraction profiles of TNTZ_{DT} as a function of distance from surface of specimen.

phase is also observed at a depth of $100\,\mu\text{m}$, where the oxygen-rich region (α case), which comprises the α phase, may be formed.

HAP was partly formed on the coating layer after soaking $TNTZ_{DT}$ in SBF for 864 ks. The coating layer is completely covered with HAP after soaking $TNTZ_{DT}$ in the SBF for more than 1728 ks as shown in Fig. 4.

3.2 Bonding strength of CPIG and mechanical properties of TNTZ_{DT}

The tensile bonding strength of the CPIG layer on the TNTZ substrate was around 25.0 MPa; this value is considerably greater than that of CPIG on pure titanium or Ti-6Al-4V, where the tensile bonding strength of CPIG is in the range of 4.15 to 13.9 MPa, and that of HAp powders or an AW glass layer mechanically coated on CP titanium and its alloys.^{21,22)} Fractured CPIG and a portion of orthomite super bond were observed on the fracture surfaces of all the TNTZ_{DT} specimens obtained from the tensile bonding tests, but TNTZ substrates were not observed. In other words, the coating layer is bonded strongly with the TNTZ substrate due to the presence of the compositional gradient zone within the



Fig. 4 SEM micrograph on specimen surface of $TNTZ_{DT}$ after soaking in SBF for 1209.6 ks.

CPIG layer, where titanium phosphate near the surface of the TNTZ seems to be formed by a reaction between the β -CPP and the calcium phosphate including some Na₂O and TiO₂ during the heating at 1073 K for 0.9 ks,¹⁸⁾ and the anchor effect of the rough TNTZ surface formed by carbon blasting. In addition, it is difficult to investigate the true tensile bonding strength of CPIG layer due to the greater strength of the bonding of CPIG layer with the TNTZ substrate as compared to that of orthomite super bond.

The Vickers hardness test revealed the presence of a hardness gradient near the surface of the TNTZ substrate because the oxide layer and α case existed near the surface of the TNTZ substrate. The Vickers hardness near the surface of the TNTZ substrate and at a depth of around 600 µm from the surface was 286 HV and 240 HV, respectively.

The tensile strength of TNTZ_{ST} was 549 MPa and its elongation was 41.6%. The tensile strength and elongation of TNTZ_{DT} were 713 MPa and 21.7%, respectively. The increase in the strength of TNTZ_{DT} can be mainly attributed to age hardening due to the precipitation of the ω and α phases (which enhance the tensile strength and 0.2% proof stress) during very slow cooling in air, as shown in Fig. 3, and solid solution hardening caused by the oxygen-rich layer near the TNTZ surface.

Figure 5 shows the relationship between the maximum cyclic stress and the number of cycles to failure for $TNTZ_{ST}$ and $TNTZ_{DT}$. The fatigue strength of $TNTZ_{DT}$ is similar to that of TNTZ_{ST} in low-cycle fatigue life ($< 10^5$ cycles) and high-cycle fatigue life (> 10^5 cycles) regions. The fatigue limits of $TNTZ_{ST}$ and $TNTZ_{DT}$ are 330 MPa and 325 MPa, respectively. However, the fatigue ratio (f; fatigue limit/tensile strength) of TNTZ_{DT} is 0.46, which is less than that of $TNTZ_{ST}$ (0.60). In general, the fatigue strength increases with the tensile strength and 0.2% proof stress. The tensile strength and 0.2% proof stress of TNTZ_{DT} are considerably greater than those of TNTZ_{ST}. This is mainly attributed to the difference in the surface roughness between $TNTZ_{DT}$ and TNTZ_{ST}, whose surface carbon blasted and buff polished, respectively. However, this fact needs to be confirmed by further investigation.

All the fatigue cracks of TNTZ_{DT} initiated at the very edge



Fig. 5 S-N curves of as-solutionized TNTZ (TNTZ_{ST}) and TNTZ_{DT}.

of the specimen surface comprised TiO₂ and α case, whose roughness is relatively high. On the other hand, the fatigue cracks of TNTZ_{ST} initiated in the β phases of the fatigue specimen surface. Striations were formed in the stable crack propagation area in all the specimens studied.

The exfoliation of the CPIG layer on the specimen surface of $TNTZ_{DT}$ was not observed after the fatigue tests.

3.3 Morphology of $TNTZ_{AT}$ before and after soaking it in SBF

Figure 6 shows SEM micrographs of the alkali-treated TNTZ (TNTZ_{AT}) specimen surfaces treated in 3, 5, and 10 kmol/m^3 NaOH solutions at 333 K for 86.4 ks and 172.8 ks. A rough reticulate structure can be observed on the surface of TNTZ_{AT}, as shown in Fig. 6. With high magnification, a very fine reticulate structure can be observed on all the specimens, as representatively shown in Fig. 7. The XRD analysis detected a peak of Na₂Ti₅O₁₁ or Na₂Ti₆O₁₃ on the specimen surfaces of TNTZ_{AT} soaked in 5 and 10 kmol/m³ NaOH solutions. CP titanium and its alloys are well known to be covered with a titanium oxide film such as TiO₂. The oxide film on TNTZ might be composed of many types of oxides such as Nb₂O₅, Ta₂O₅, and ZrO₂.

The dissolution reaction of a titanium oxide film in an alkali solution is as follows:¹³⁾

$$TiO_2 + OH^- \to HTiO_3^- \tag{1}$$

A small amount of niobium oxide film may dissolve according to the following reaction:²³

$$Nb_2O_5 + 2OH^- \rightarrow 2NbO_3^- + H_2O \tag{2}$$

Then, the negatively charged specimen surfaces combine with positively charged alkali ions, resulting in the formation of sodium titanate and niobate hydrogel. When soaked into the SBF, Na ions are released from the hydrogel layer and the negatively charged hydronium ions in the SBF incorporated mainly with the Ti-OH group, which may be less in amount as compared with CP titanium fully covered with TiO₂, on the specimen surface. ZrO_2 and Ta_2O_5 may react slightly according to equations (1) and (2), respectively, although there is a possibility of a change in their reactions in the SBF at pH 7.4 because of their different points of zero charge.

Figure 8 shows the AES results for $TNTZ_{AT}$ soaked in



Fig. 6 SEM micrographs on specimen surfaces of TNTZ after soaking in 3, 5 and $10 \text{ kmol}/\text{m}^3$ NaOH solutions at 333 K for 86.4 ks and 172.8 ks.



5 mol / 172.8 ks

Fig. 7 Representative SEM micrograph on specimen surface of TNTZ after soaking in 5 kmol/m³ NaOH solutions at 333 K for 172.8 ks with a high magnification.

3, 5, and, 10 kmol/m^3 NaOH solutions at 333 K for 86.4 ks and 172.8 ks as a function of the distance from the surface of the specimen. The thickness of the oxide film on TNTZ was measured to be around 10 nm before the alkali treatment when the points of intersection between the oxygen and titanium intensity profiles obtained from the AES were set at the interface between the oxide film and the matrix.²⁴ After



Fig. 8 Results of AES on specimen surfaces of TNTZ in 3, 5 and 10 kmol/m³ NaOH solutions at 333 K for 86.4 ks and 172.8 ks.

the alkali treatment, a sodium titanate film, which could comprise sodium niobate, oxygen, and sodium, was observed. The thickness of the reticulate product appeared to increase proportionally from 400 to 800 nm with the molar concentration of the NaOH solution and the soaking time in the solution, although changes in all the profiles of Na, which had small fluctuations, were nearly equal within the sodium titanate film.

HAP was not formed on all the specimen surfaces of TNTZ_{AT} that were soaked in the SBF for 604.8 ks; it is formed only on the specimen surfaces of TNTZ_{AT} that were soaked in SBF for 1209.6 ks after being soaked in 3, 5, and, 10 kmol/m^3 NaOH solutions, as shown in Fig. 9. The HAP formation on the specimen surfaces of TNTZ_{AT} soaked in 3 and 5 kmol/m^3 NaOH solutions is partial and complete, respectively. On the other hand, HAP is partly exfoliated on the specimen surface of TNTZ_{AT} soaked in the 10 kmol/m^3 NaOH solution.

The exchange between the Na ion and the hydronium ion in the SBF results in a pH increase in the fluid surrounding the specimen surface. This pH increase leads to an increase in the ionic activity product of HAP according to the following equilibrium in the SBF:¹³⁾

$$10\mathrm{Ca}^{2+} + 6\mathrm{PO}_4^{3-} + 2\mathrm{OH}^- \leftrightarrow \mathrm{Ca}_{10}(\mathrm{PO}_4)_6(\mathrm{OH})_2 \quad (3)$$

The apatite nuclei grow with an increase in the consumption of calcium and phosphate ions from the surrounding fluid. It has been reported that the HAP formation on the specimen surface of CP titanium is enhanced with an increase



(a) 3 mol / 172.8 ks



(b) 5 mol / 172.8 ks



(c) 10 mol / 172.8 ks

Fig. 9 SEM micrographs on specimen surfaces of TNTZ dipping in SBF for for 1209.6 ks after soaking in 3, 5 and 10 kmol/m³ NaOH solution at 333 K for 172.8 ks.

in the molar concentration and the soaking time in the alkali solution. In particular, the HAP formation is complete on the specimen surface soaked in the SBF for 172.8 ks after being soaked in 5 and 10 kmol/m³ NaOH solution at temperatures between 313 K and 333 K for 86.4 ks. A similar trend is observed for $TNTZ_{AT}$, although the HAP formation takes a considerably longer time as compared to the case of alkalitreated CP titanium. The HAP formation might be delayed due to the existence of different types of oxide films, which depend on according to the alloying elements. The formation of HAP by alkali treatment on the specimen surface of CP titanium is the fastest when compared with the surfaces of CP niobium, CP tantalum, and CP zirconium.25-29) The formability of HAP on CP tantalum and CP zirconium is relatively high. On the other hand, The formability seems to be lower for CP niobium, although the data reported is very poor. Therefore, a further detailed investigation is required to clarify this issue.

4. Conclusions

The morphologies of the surfaces of a β -type titanium alloy, TNTZ, fabricated for biomedical applications were investigated by subjecting it to a CPIG coating and alkali solution treatments. The change in the mechanical properties of TNTZ resulting from the CPIG coating treatment was also investigated. The following results were obtained.

- (1) A compositional gradient zone with a thickness of around $3\,\mu m$ exists within the CPIG layer near the surface of the TNTZ substrate. The tensile bonding strength between TNTZ and the CPIG layer is around 25 MPa.
- (2) HAP is partly formed on the CPIG coating layer after soaking it in the SBF for 864 ks. The CPIG coating layer is completely covered with HAP after soaking it in the SBF for more than 1728 ks.
- (3) The tensile strength of TNTZ with CPIG coating is 713 MPa, which is around 160 MPa greater than that of as-solutionized TNTZ. On the other hand, its elongation is 21.7%, which is half of that of as-solutionized TNTZ. The fatigue limit of TNTZ with CPIG coating is nearly equal to that of as-solutionized TNTZ.
- (4) The specimen surfaces of alkali-treated TNTZ treated in 3, 5, and 10 kmol/m³ NaOH solutions at 333 K for 86.4 ks and 172.8 ks exhibit a rough reticulate structure, which is found to comprise a very fine reticulate structure in high-magnification SEM observations. Only EDX profiles on the specimen surfaces of alkalitreated TNTZ in 5 and 10 kmol/m³ NaOH solutions exhibit a peak of Na₂Ti₅O₁₁ or Na₂Ti₆O₁₃.
- (5) HAP is partly and completely formed on the specimen surfaces of alkali-treated TNTZ treated in 3 and 5 kmol/m³ NaOH solutions for 172.8 ks, respectively, after soaking them in SBF for 1209.6 ks. On the other hand, the exfoliation of HAP is observed on the surface of alkali-treated TNTZ treated in 10 kmol/m³ NaOH solution for 172.8 ks.

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